

Human Immunoglobulin Adsorption Investigated by Means of Quartz Crystal Microbalance Dissipation, Atomic Force Microscopy, Surface Acoustic Wave, and Surface Plasmon Resonance Techniques

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Time-resolved adsorption behavior of a human immunoglobulin G (hIgG) protein on a hydrophobized gold surface is investigated using multitechniques: quartz crystal microbalance/dissipation (QCM-D) technique; combined surface plasmon resonance (SPR) and Love mode surface acoustic wave (SAW) technique; combined QCM-D and atomic force microscopy (AFM) technique. The adsorbed hIgG forms interfacial structures varying in organization from a submonolayer to a multilayer. An "end-on" IgG orientation in the monolayer film, associated with the surface coverage results, does not corroborate with the effective protein thickness determined from SPR/SAW measurements. This inconsistency is interpreted by a deformation effect induced by conformation change. This conformation change is confirmed by QCM-D measurement. Combined SPR/SAW measurements suggest that the adsorbed protein barely contains water after extended contact with the hydrophobic surface. This limited interfacial hydration also contributed to a continuous conformation change in the adsorbed protein layer. The viscoelastic variation associated with interfacial conformation changes induces about 1.5 times overestimation of the mass uptake in the QCM-D measurements. The merit of combined multitechnique measurements is demonstrated.

Introduction

Adsorption of immunoglobulin G (IgG) on solid surfaces has attracted strong research interest because of its wide application in biotechnology, immunoassays, and biosensors.^{1–4} The detailed understanding of the mechanisms and physicochemical parameters governing the adsorption behavior is essential for the development of novel immunoassays and biosensors. Various techniques, based on different principles such as radiolabeling,^{5–7} optical adsorption,^{8–10} refractive index changes,^{11–18} elec-

tromechanical microbalance,^{4,19,20} and others,^{21–28} have been used to investigate IgG adsorption.

However, the adsorption of IgG macromolecules at the liquid–solid interface is a sophisticated process.^{9,10,14,16,22,24,28–30} The understanding of the mechanisms of the IgG adsorption should be improved by the simultaneous investigation of the protein film properties, such

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as surface coverage, thickness, and conformation changes. One should mention that, due to the diversity in the reported experimental parameters, such as pH,²⁸ ionic concentration,³ and IgG type^{14,15} used in previous studies, a direct comparison of experimental results obtained by different measurement methods seems unrealistic. The combination of different in situ measurement techniques would provide a new opportunity to obtain complementary information about the protein adsorption process occurring at the same measurement time and under the same experimental conditions. So far, only a few multitechnique studies have been reported.^{31,32–35}

In this study, the adsorption behavior of human immunoglobulin G is investigated in a broad solution concentration range (from 100 ng/mL to 3 mg/mL) on a hydrophobic surface by means of (i) quartz crystal microbalance dissipation (QCM-D), (ii) combined surface plasmon resonance (SPR) and Love mode surface acoustic wave (SAW), and (iii) combined QCM-D and atomic force microscopy (AFM) techniques. Among these, both the QCM-D and SAW techniques are based on acoustic probing of the protein layer under investigation, providing information about mass uptake on the sensor. The QCM-D technique also provides a unique set of information about viscoelastic property of the protein layer as a result of complex modeling of the interaction of the acoustic wave with the protein layer and the solvent,^{19,20,36,37} while the SAW signal is not sensitive to viscoelastic effects.³⁸ The viscoelastic variation of the adsorbed protein layer was quantified by comparison of the results from QCM-D and SAW. SPR is an optical method, which provides information about adsorbed protein amount and layer thickness.^{11,12,31} In addition, information about the water content and thickness of the adsorbed protein layer was deduced from combined SPR/SAW investigation. The water content of the protein film was extracted as both techniques provide information on one common parameter, the thickness of the layer. In a simultaneous measurement, SAW gives information about the density parameter of the film, while SPR gives information about the optical index parameter of the layer. Upon reducing the number of parameters by assuming that both density and optical index scale linearly with the protein/water ratio in the protein layer, one could extract a unique pair of layer thickness and water content values from the simultaneous set of measurements.³⁹ Moreover, the ambiguous quantitative information deduced from the QCM-D measurement, in terms of adsorbed protein amount,^{40–43} was

precised using the additional information obtained from the combined SPR/SAW measurements. Finally, AFM is one of the most useful techniques to characterize the organization of the adsorbed protein film scaling down to a molecular resolution;^{24,26,27} the combined QCM-D/AFM measurement provides substantial new results, such as adsorption kinetics, lateral film organization, and time-resolved information about the conformation change at the interface.^{44,45,46}

The combination of different surface-sensitive techniques allowed us to obtain both adsorption kinetics and structural information, which demonstrates that the results deduced from the various measurements not only validate but also complement each other.

Experimental Section

Materials and Preparation of the Sensor Chip Surfaces.

Human immunoglobulin G (chrompure) was purchased from Jackson ImmunoResearch Inc. 1-Octadecanethiol (ODT) (>97%) was obtained from Aldrich. Ultrapure absolute ethanol was purchased from Riedel-de Haën. The inorganic salts were of pa grade (Merck or Fluka). The buffer solution (PBS, pH = 7.4) was prepared with NaCl (0.15 M) and 1×10^{-2} M $\text{Na}_2\text{HPO}_4/\text{KH}_2\text{PO}_4$. Glycine hydrochloride was from Sigma. The water was of an ultrapure grade for microelectronic purposes ($\Omega < 18$).

QCM-D sensor chips were purchased from Q-Sense-AB (Göteborg, Sweden). The chip is a disc-shaped AT-cut crystal with gold electrodes on both sides. The thickness of the quartz crystal was 330 μm according to the supplier. Before use, the chips were cleaned first with a piranha solution ($\text{H}_2\text{SO}_4/\text{H}_2\text{O}_2$, 7:3 (v/v)), followed by a UV–ozone treatment. The cleaned chips were rinsed with absolute ethanol and immediately immersed into ODT solution (ODT dissolved in ethanol with concentration 10^{-3} M) for 6 h. After SAM formation, the QCM sensor chips were rinsed with ethanol and dried with nitrogen.

Methods and Instrumentation. Experimental Procedures. The experimental procedure for QCM-D tests included (Figure 1) the following: (i) degassed PBS solution injected at time t_0 to get a baseline; (ii) injection of a protein solution with known bulk concentration (C_{protein}) at time t_1 with the adsorption kinetics typically followed on-line during 1 h; (iii) injection of PBS solution at time t_2 and 5-times exchange of the chamber volume to remove the protein substance that was not surface confined; (iv) injection of rinsing solution (10 mM glycine–hydrochloride, pH 2.2) at time t_3 ; (v) additional rinsing step in PBS at time t_4 . During the measurement, each time injection introduces a fixed volume solution (3 mL). The flow is stopped after each injection. The combined SPR/SAW measurements were performed by following an analogous injection procedure as the QCM-D experiments.

QCM-D Technique. A commercial QCM-D apparatus (Q-Sense AB, Göteborg, Sweden) was used to simultaneously measure the changes in the resonance frequency (Δf) and in the energy dissipation (ΔD) due to the protein adsorption process. The QCM chip is excited to oscillate in the thickness-shear mode at its fundamental resonance frequency ($f_1 = 5$ MHz) and odd overtones ($n = 3, 5, 7$) by applying a rf voltage across the electrodes. The measurements are effected by periodically disconnecting the oscillating crystal from the circuit in a computer-controlled way.^{43,47} The Q-Sense software determines the resonance frequency and the decay time, τ_0 , of the exponentially damped sinusoidal voltage signal over the crystal caused

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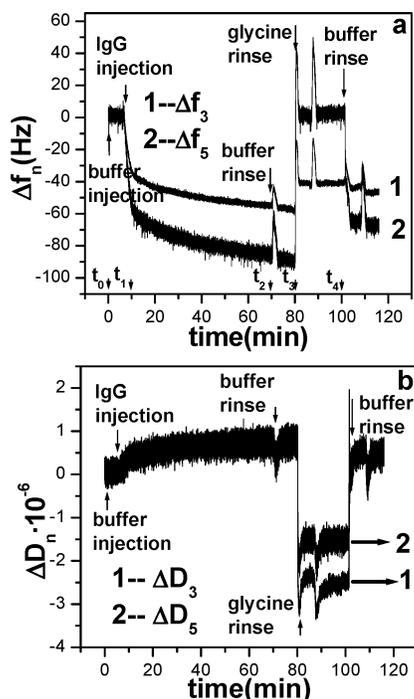


Figure 1. Typical QCM-D experiment for the real-time acquisition of (a) frequency shifts Δf_n and (b) dissipation changes ΔD_n induced by protein adsorption on a hydrophobized sensor chip surface. The presented sensograms correspond to the third and fifth overtone frequencies $f_3 = 15$ MHz (curve no. 1) and $f_5 = 25$ MHz (curve no. 2) of the QCM-D device and a bulk solution concentration of human IgG equal to $11.5 \mu\text{g/mL}$. The arrows indicate the times for injection of buffer (t_0), protein sample (t_1), and rinsing solutions (t_2, t_3, t_4). (Artifacts due to the exchange of the liquid phase in the measurement chamber are seen as peaks around 70, 80, 88, and 110 min.)

154 by switching of the voltage applied to the piezoelectric oscil-
 155 lator. This allows to acquire the dissipation factor, D , via the
 156 relation

$$D = \frac{1}{\pi f \tau_0} = \frac{2}{\omega \tau_0}$$

157 where f is the resonance frequency and τ_0 is the relaxation time
 158 constant.³⁷ This energy dissipation, D , is the inverse of the quality
 159 factor, Q , which is defined as the center resonance frequency
 160 divided by the width-at-half-height of the resonance peak.

161 Classically, the Sauerbrey relationship has been used for
 162 quantitative determination of mass deposited on the sensor
 163 surface,^{35,36}

$$-\Delta f_{\text{Sauerbrey}} = \frac{1}{nC} m_r = \frac{1}{nC} \rho_r h_r \quad (1)$$

164 where the mass sensitivity constant, C , is equal to $17.7 \text{ ng}/(\text{cm}^2 \cdot$
 165 $\text{Hz})$ at $f_0 = 5$ MHz. The Sauerbrey equation has in fact been
 166 derived for uniform ultrathin rigid films with material properties
 167 indistinguishable from those of the crystal resonator (for instance
 168 metallic films deposited onto the gold electrode in a vacuum).⁴²
 169 Ward,⁴⁸ Rodahl and Kasemo,^{43,47} and Kankare⁴⁹ have demon-
 170 strated that for applications in liquid phase the Sauerbrey
 171 equation is no longer valid and needs to be corrected for the
 172 influence of the medium and the viscoelasticity. Viscoelastic
 173 changes in the deposited overlayer on the sensor surface, and
 174 entrapment of liquid in rough and porous interfacial structures,
 175 create additional frequency shifts besides the ones due to the
 176 mass load on the electrode surface.^{36,37,42}

177 **Combined QCM-D/AFM Technique.** The instrumental
 178 setup for the combined QCM-D/AFM technique has been

described previously.⁴⁶ It included a laboratory-made QCM 179
 resonator and a tapping mode scanning force microscope PicoSPM 180
 (version 4.19, Molecular Imaging Co.). A tapping-mode cantilever 181
 with a spring constant $1.2\text{--}3.5 \text{ N/m}$ was employed. Nanosensors 182
 tips were purchased from ScienTec. The AFM cantilever reso- 183
 nance frequency in the experiments was in the $27.9\text{--}30.7 \text{ kHz}$ 184
 range. Images were acquired over scan areas (2×2) and $(1 \times$ 185
 $1) \mu\text{m}^2$ using a S-type piezo scanner. 186

Combined SPR/SAW Technique. Detail information about 187
 the set up for the combined SPR/SAW technique can be found 188
 in a recent publication.³⁹ A modified Ibis II SPR instrument (IBIS 189
 Technologies BV) is used to irradiate a 670 nm laser on a quartz 190
 substrate and monitor the reflected intensity vs angle with an 191
 accuracy of $\pm 2.555^\circ/200$ pixels. The ST-cut quartz substrate is 192
 patterned with double-finger interdigitated electrode for launch- 193
 ing a Love mode acoustic wave at a frequency of 123.5 MHz . The 194
 guiding layer is made of a $1.13 \mu\text{m}$ thick PECVD silicon dioxide 195
 layer. The phase and insertion loss of the acoustic wave device 196
 are monitored using an HP 4396A network analyzer. The SPR 197
 angle shift data are modeled following the formalism previously³⁹ 198
 (and references therein), assuming an optical index of a pure 199
 protein to be in the $1.450\text{--}1.465$ range and the density of a pure 200
 protein film to be at most 1.4 g/m^3 . The SAW phase shift is 201
 converted to a frequency shift by using the locally linear phase 202
 to frequency relationship and is then translated into a bound 203
 mass using the equation³⁹ 204

$$\Delta m/A = \Delta f/(Sf_0) \quad (2)$$

where f_0 is the frequency at which the phase is monitored in an 205
 open-loop configuration (123.5 MHz in our case), Δf is the 206
 frequency converted from the measured phase, and S is the mass 207
 sensitivity calibrated by copper electrodeposition.³⁸ The method 208
 for thickness determination and the quantification of water 209
 content in protein layer using combined SPR/SAW measurement 210
 has been described previously.³⁹ 211

Results and Discussion 212

Part I: QCM-D and Combined SPR/SAW Mea- 213
surements. Protein Adsorption Kinetics. The ad- 214
 sorption kinetics of hIgG was investigated in a broad 215
 concentration range (from 80.5 ng/mL to 2.3 mg/mL) 216
 using the QCM-D method. The adsorption of hIgG at the 217
 solid/liquid interface was monitored in real time by 218
 measuring the decrease of the resonance frequency (Δf) 219
 and the time dependence of the dissipation change (ΔD) 220
 (Figure 1). 221

The adsorption of hIgG on the hydrophobic surface 222
 appeared to be irreversible, as is observed from the limited 223
 desorption degree after intensive buffer rinsing steps. 224

In the reminder of this report, the acquired Δf_n and ΔD_n 225
 for each overtone n ($n = 3, 5$) will be plotted as quantities 226
 after subtraction of the initial baseline signals: $\Delta f_n = f$ 227
 $- f_{t_1}$ and $\Delta D_n = D - D_{t_1}$. The experimental data reported 228
 in this work refer to the third ($f_3 = 15 \text{ MHz}$) and fifth (f_5 229
 $= 25 \text{ MHz}$) overtones. Figure 2a shows the adsorption 230
 kinetics of hIgG at the solid/liquid interface for selected 231
 solution concentrations. The adsorption kinetics showed 232
 an essential dependence on the hIgG concentration. 233

Despite being based on different detection principles, 234
 both the SPR and SAW measurements provided synchron- 235
 ous information on the adsorption kinetics (Figure 3). 236

However, the combined SPR/SAW measurements did 237
 not provide the same kinetic trend as the QCM-D results 238
 presented above, which is probably due to the viscoelastic 239
 variations that are involved in the frequency shift change 240
 in the QCM-D measurement. As shown in Figure 2b, 241
 plateau values of the dissipation factor change, ΔD , were 242
 not reached within 60 min from the onset of the adsorption 243
 at bulk hIgG concentration higher than $115 \mu\text{g/mL}$. This 244
 suggests that the protein layers might undergo a continu- 245

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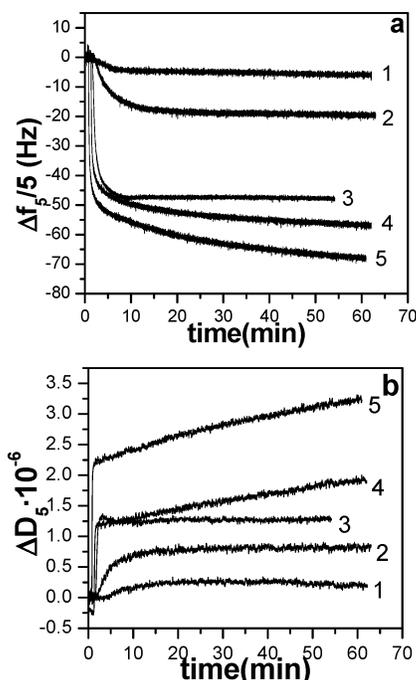


Figure 2. Adsorption kinetics of human IgG monitored as (a) frequency shift Δf and (b) dissipation change ΔD responses versus time at $f_s = 25$ MHz. The frequency shifts in (a) are presented as normalized quantities $\Delta f_n/n$ ($n = 5$). The protein bulk solution concentrations are 805 ng/mL (1), 11.5 $\mu\text{g/mL}$ (2), 115 $\mu\text{g/mL}$ (3), 0.46 mg/mL (4), and 2.3 mg/mL (5).

ous interfacial reorganization at the solid/liquid interface, which results in the viscoelastic variations in the protein layer.

Viscoelastic Variation in the Adsorbed hIgG Layer. In an attempt to detect the viscoelastic variation, which contribute to the measured frequency shifts during the QCM-D measurement, we normalized the plots Δf_n versus time obtained at $n = 3$ and $n = 5$ by the overtone number (procedure recommended by Hook et al.⁵⁰). As a result, we found that the $\Delta f_3/3$ values are generally not identical with the $\Delta f_5/5$ values, differing from the prediction of eq 1. A nonlinear response of the sensor device implies a dominating contribution of viscoelastic variations during the adsorption of the protein layers. The obtained QCM-D responses in this work were classified into two categories: (1) where the normalized $\Delta f_n/n$ curves coincide ($\Delta f_3/3 \equiv \Delta f_5/5$) when the hIgG concentration was lower than 115 $\mu\text{g/mL}$; (2) where they quantitatively diverge ($\Delta f_3/3 \neq \Delta f_5/5$) when the hIgG concentration was higher than 115 $\mu\text{g/mL}$ (Figure 4).

As shown earlier,^{51,52} a strong viscoelastic change during QCM-D measurements in viscous liquids could be confirmed by the overtone scaling law ($\Delta f_n/n^{1/2} = \text{constant}$) (as opposed to the rule ($\Delta f_n/n = \text{constant}$) for a rigid deposit). Figure 4b shows that when the bulk hIgG concentration is higher than 115 $\mu\text{g/mL}$, neither the ($\Delta f_n/n = \text{constant}$) rule is applicable nor the scaling law ($\Delta f_n/n^{1/2} = \text{constant}$) is applicable to the Δf_3 and Δf_5 data. However, the discrepancy between the scaled $\Delta f_3/3$ and $\Delta f_5/5$ appears to be much smaller than that between the scaled $\Delta f_3/3^{1/2}$ and $\Delta f_5/5^{1/2}$ quantities for all the investigated hIgG concentrations. This indicates that the mechanical properties of the adsorbed hIgG layer do not resemble those

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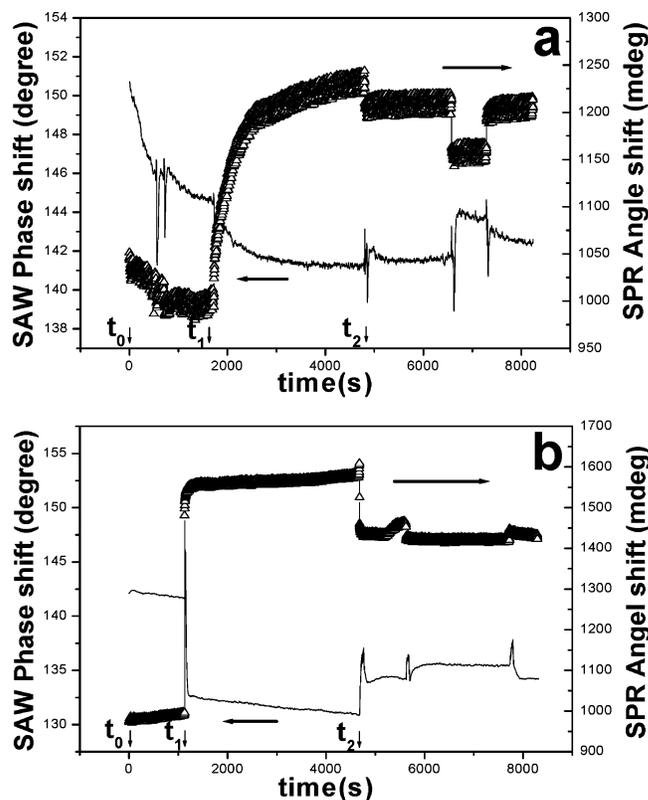


Figure 3. Adsorption kinetics of human IgG measured by a combined SPR and SAW technique for bulk concentrations of (a) 11.5 $\mu\text{g/mL}$ and (b) 2.3 mg/mL. The SAW phase shift is displayed as a thin line while the relative SPR angle shift is displayed as triangles (the scale for SPR is displayed in the right y axis). The arrows on the x axis indicate the following: t_0 , PBS injection; t_1 , hIgG injection; t_2 , buffer rinse.

of a rigid layer in the sense of the Sauerbrey equation and that they differ also from those of a viscous liquid in a contact with the sensor surface.

More information about the viscoelastic variations in the hIgG layer contributing to the frequency shift of the QCM-D was obtained from a comparison of the QCM-D and SAW data. The SAW technique measures the mass uptake including trapped water on the sensor surface.³⁸ The surface coverage represented by the surface mass density, Δm_{SAW} , deduced from the SAW measurements reflects the real mass uptake on the sensor surface. On the other hand, the apparent surface coverage ($\Delta m_{\text{QCM-D}}$) deduced from the QCM-D frequency shift using eq 1 includes a contribution associated with the viscoelastic variations in the protein layer. Simple conversion of the frequency shift to a surface mass density using eq 1 may lead to an overestimation of the surface deposited mass using the QCM-D method. The viscoelastic effect was quantified by comparison of the surface mass densities Δm_{SAW} and $\Delta m_{\text{QCM-D}}$ (Table 1). Table 1 shows that there is a correlation between the increase of the dissipation factor change ΔD_5 and the surface mass density difference ($\Delta m_{\text{QCM-D}} - \Delta m_{\text{SAW}}$), observed upon the increase of the hIgG solution concentration.

At hIgG concentration lower than 115 $\mu\text{g/mL}$, for which the scaling law ($\Delta f_n/n = \text{constant}$) is applicable, the QCM-D measurement overestimates the real mass uptake by about 20%. This indicates a negligible viscoelastic variation in the protein layer formed at low concentrations. At hIgG concentration higher than 115 $\mu\text{g/mL}$, for which

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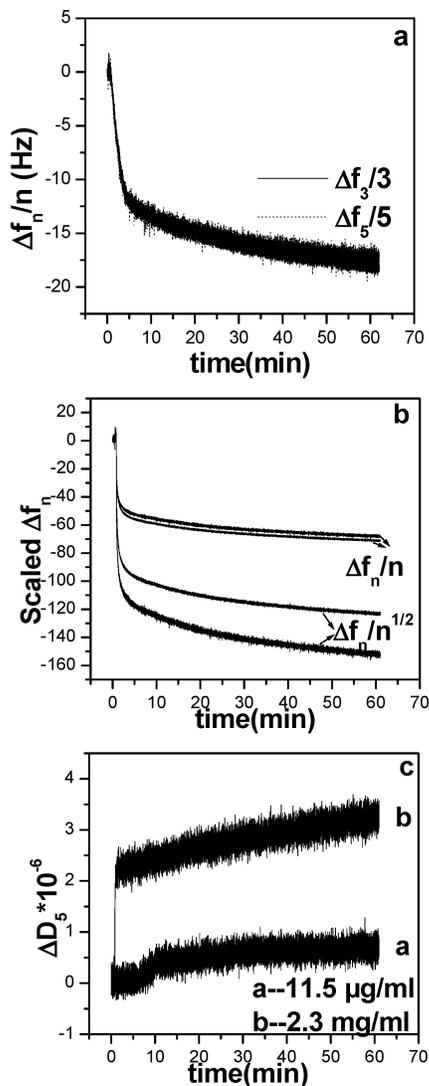


Figure 4. Normalized frequency shifts, $\Delta f_n/n$, versus time obtained for human IgG adsorption at third ($n = 3$) and fifth ($n = 5$) overtones. Two experimental situations are discriminated: (a) The $\Delta f_3/3$ and $\Delta f_5/5$ magnitudes overlap when the bulk hIgG concentration is lower than $115 \mu\text{g/mL}$ (the IgG solution concentration is $11.5 \mu\text{g/mL}$ in this example and $\Delta D_{5(t=60 \text{ min})} = 0.62 \times 10^{-6}$). (b) The $\Delta f_3/3$ and $\Delta f_5/5$ curves are divergent when the bulk hIgG concentration is higher than $115 \mu\text{g/mL}$ (the solution concentration of IgG is equal to 2.3 mg/mL in the presented example and $\Delta D_{5(t=60 \text{ min})} = 3.14 \times 10^{-6}$). The $\Delta f_n/n^{1/2}$ curves for this concentration are also shown in (b). The dissipation kinetics of ΔD_5 , corresponding to the two bulk hIgG concentrations in (a) and (b), are shown in (c).

Table 1. Surface Mass Density ($\Delta m_{\text{QCM-d}}$) Deduced from QCM-D Measurements in the 5th Mode, Surface Mass Density (Δm_{SAW}) from Love Mode SAW Measurements, Dissipation Change ΔD_5 , and Surface Mass Density Difference ($\Delta m_{\text{QCM-d}} - \Delta m_{\text{SAW}}$) Associated with the Viscoelastic Variation

hIgG concn	$\Delta m_{\text{QCM-d}}$ (ng/cm^2)	Δm_{SAW} (ng/cm^2)	dissipation change ΔD_5 (10^{-6})	$\Delta m_{\text{QCM-d}}$ $-\Delta m_{\text{SAW}}$ (ng/cm^2)	ratio of $\Delta m_{\text{QCM-d}}$ to Δm_{SAW}
11.5 $\mu\text{g/mL}$	361 ± 24	300 ± 50	0.62	60	1.2
57.5 $\mu\text{g/mL}$	531 ± 53	410 ± 55	1.26	121	1.3
115 $\mu\text{g/mL}$	726 ± 53	475 ± 60	1.34	251	1.5
0.46 mg/mL	977 ± 60	609 ± 70	2.10	368	1.6
1.38 mg/mL	1168 ± 62	740 ± 50	2.89	428	1.6
2.3 mg/mL	1340 ± 55	850 ± 50	3.15	490	1.6

309 the scaling law ($\Delta f_n/n = \text{constant}$) is not applicable, the
310 QCM-D measurement overestimates the real mass up-

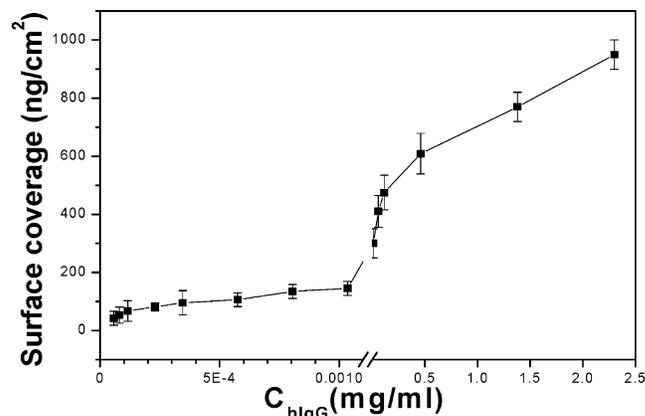


Figure 5. Surface mass density as a function of the bulk concentration of human IgG. For protein concentrations lower than $11.5 \mu\text{g/mL}$, the surface coverage is deduced from QCM-D measurements; for protein concentrations equal or larger than $11.5 \mu\text{g/mL}$, the surface coverage is determined by love mode SAW measurements. The adsorption isotherm refers to 1 h adsorption time at temperature $21 \text{ }^\circ\text{C}$.

311 take by over 50%, which indicates a substantial visco-
312 elastic variation in protein layer formed at high concen-
313 tration.

Monolayer and Supramonolayer Regimes: De-
314 **duced from Surface Mass Density.** The adsorption
315 isotherm, corresponding to the surface coverage of hIgG
316 on the sensor surface as a function of its concentration in
317 solution, is presented in Figure 5. As we discussed above,
318 when the bulk hIgG concentration ranges from 80.5 ng/
319 mL to $11.5 \mu\text{g/mL}$, the surface mass density value,
320 $\Delta m_{\text{QCM-d}}$, determined from the QCM-D test and eq 1 will
321 not overestimate the real surface mass density because
322 of the negligible viscoelastic variation. Thus, for these
323 concentrations, the surface coverage value was obtained
324 from QCM-D data and eq 1. On the other hand, the surface
325 coverage for bulk concentrations above $11.5 \mu\text{g/mL}$ was
326 determined by SAW measurements and eq 2 because the
327 QCM-D measurement overestimates the real mass uptake
328 when the viscoelastic variation is significant.
329

330 The surface coverage exhibits a nonlinear dependence
331 on the protein solution concentration, C_{hIgG} , which was
332 varied in the present study in an extended interval from
333 80.5 ng/mL to 2.3 mg/mL . The shape of the obtained
334 adsorption isotherm differs remarkably from a typical
335 adsorption curve terminated by a saturation plateau that
336 corresponds to fully occupied adsorption sites upon
337 monolayer formation at the interface.²² The surface
338 coverage value of 609 ng/cm^2 , obtained at a hIgG con-
339 centration of 0.46 mg/mL , is much larger than the highest
340 possible surface coverage values for IgG monolayer. A
341 densely packed IgG monolayer would give a surface
342 coverage ranging from 200 to 550 ng/cm^2 ,³ depending on
343 the orientation of the absorbed IgG molecules.

344 On the basis of the obtained surface coverage values,
345 the adsorption isotherm of hIgG is interpreted in terms
346 of the surface packing density, which varies from sub-
347 monolayer to a monolayer one and subsequently reaches
348 a multilayer configuration upon the increase of C_{hIgG} .

349 In other studies, it has been reported that IgG adsorption
350 on hydrophobic surfaces leads to a plateau value of the
351 surface coverage corresponding to a monolayer packing
352 when the bulk IgG concentration is high.^{3,21} Few reports
353 have described a double layer formation upon IgG
354 adsorption on hydrophobic surfaces.^{13,25,28} In this study,
355 the hIgG adsorption did not saturate at a monolayer level.
356 In contrast, it resulted in supramolecular structures and

Table 2. Water Content and Layer Thickness of the Adsorbed hIgG Layer Determined after 1 h of Adsorption onto Hydrophobic Sensor Surfaces

hIgG concn	water content (%)	film thickness (nm)
11.5 $\mu\text{g/mL}$	0 \pm 10	2.3 \pm 0.3
57.5 $\mu\text{g/mL}$	0 \pm 10	3.5 \pm 0.5
115 $\mu\text{g/mL}$	0 \pm 10	3.5 \pm 0.3
0.46 mg/mL	0 \pm 10	4.6 \pm 0.7
1.38 mg/mL	0 \pm 10	6.0 \pm 0.2
2.3 mg/mL	0 \pm 10	7.2 \pm 0.6

357 did not reach a plateau value within the bulk concentration
358 range investigated in this paper. The surface coverage
359 values in our measurements indicated that the bulk hIgG
360 concentration which leads to a full monolayer is equal to
361 or slightly above 11.5 $\mu\text{g/mL}$. This concentration range is
362 in agreement with those reported previously.^{6,7,22}

363 To identify the lowest possible concentration that is
364 able to form a fully covered monolayer on the hydrophobic
365 surface, additional QCM-D experiments were performed.
366 Three different surfaces preadsorbed with hIgG at bulk
367 concentrations of 805 ng/mL, 11.5 $\mu\text{g/mL}$, and 57.5 $\mu\text{g/mL}$
368 were exposed to aqueous solution of 200 $\mu\text{g/mL}$ bovine
369 serum albumin (BSA) for 30 min. The incubation with
370 BSA resulted in frequency shifts of 40, 17, and 0 Hz,
371 respectively, in the fifth overtone. This strongly indicates
372 that the hydrophobic surface is nearly fully covered by a
373 hIgG film when the bulk hIgG concentration reaches a
374 value higher than 11.5 $\mu\text{g/mL}$. When the hIgG concentra-
375 tion increases above 11.5 $\mu\text{g/mL}$, the corresponding surface
376 mass density is higher than 300 ng/cm². This surface
377 coverage value is within the range of the theoretical
378 monolayer coverage (200 ng/cm² for IgG monolayer in a
379 “flat-on” orientation and 370 ng/cm² for IgG monolayer
380 with “end-on” orientation³).

381 **Water Content of the Adsorbed hIgG Film.** This
382 study quantitatively determined the water content in the
383 adsorbed IgG layer by means of combined SPR/SAW
384 measurements. The employed QCM and SAW techniques,
385 which are based on acoustic probing of the protein layers
386 under investigation, measure the combined mass uptake
387 coming from both the adsorbed protein and trapped water
388 in the protein layer.^{35,50} On the other hand, the mass
389 uptake deduced from optical or labeling techniques does
390 not include the mass of trapped water. Thus, the com-
391 parison between QCM and optical techniques could be
392 used to demonstrate the presence of hydrodynamically
393 coupled water in deposited protein layer.^{31,35,54,55} However,
394 it is known that the viscoelastic variations in the adsorbed
395 protein layers also contribute to the frequency shift in a
396 QCM measurement.^{40–42} Therefore, direct comparison of
397 the mass uptake values deduced from QCM and optical
398 technique overestimates the real mass value of trapped
399 water because of the viscoelastic variations detected by
400 QCM measurement.

401 To be able to precisely extract the water content of the
402 adsorbed hIgG layer, we explore the fact that the SAW
403 signal has been shown not to be sensitive to the hydro-
404 dynamic drag³⁸ in protein layers. Using experimental data
405 as those shown in Figure 3 and using the fitting procedure
406 described in ref 39, we determined the water content and
407 the thickness of hIgG films adsorbed at different solution
408 concentrations. The results are presented in Table 2. We
409 found that the hIgG layer, formed after 1 h of adsorption

410 from solutions with various concentrations of hIgG, barely
411 contains trapped water, under the assumption that the
412 optical index is in the 1.450–1.465 range and the density
413 of the pure protein layer is 1.4 g/m³.³⁹ The determined
414 film thickness varies from 3 to 7 nm for hIgG concentration
415 increasing from 11.5 $\mu\text{g/mL}$ to 2.3 mg/mL. In other studies,
416 it has been reported that protein layers contain a certain
417 degree of associated water.^{35,36,50} The exact amount of
418 trapped water depends on the protein type and the
419 structure of the formed protein layer. It is suggested that
420 the adsorbed IgG tends to change its conformation on the
421 hydrophobic surface to achieve its most favorable surface
422 interaction and energy state.^{8,14} In this study, it is likely
423 that most of the trapped water is getting expelled from
424 the films upon durable contact with the solid support
425 because of the hydrophobic interaction between the protein
426 macromolecules and the hydrophobic surface. The effective
427 protein film thickness (Table 1), determined here from
428 combined SPR/SAW measurements, appears to be smaller
429 than the extended state molecular dimension of the IgG
430 molecule (14 \times 10 \times 4 nm³).^{3,21,25,34} Conformation changes
431 occurring upon contact with hydrophobic support may
432 explain the resulting small thickness of the protein layers.

433 **Conformation Change and Layer Organization**
434 **Deduced from QCM-D Results.** Generally, the observed
435 changes in the dissipation factor in a QCM-D measure-
436 ment might be due to conformation changes in the protein
437 layers and/or to the trapped liquid in the layer.^{33,36,37,42,56}
438 As the SPR/SAW measurement ruled out the presence of
439 trapped liquid in the investigated hIgG layer, the mea-
440 sured dissipation change ΔD in this study should be due
441 to the conformation changes at the solid/liquid interface.

442 Using the simultaneously measured Δf_5 versus time
443 and ΔD_5 versus time QCM-D responses (Figure 2), we
444 created ΔD_5 versus Δf_5 plots characterizing the viscoelastic
445 nature of the hIgG layers adsorbed at the solid/liquid
446 interface.⁵⁶ Figure 6 presents two types of relationships
447 deduced from such experiments, for which one (Figure
448 6a) or more than one (Figure 6b,c) slope were discriminated
449 in the generated plots. The different slopes in the ΔD – Δf
450 plots indicate that kinetic processes with diverse relax-
451 ation times occur during adsorption. Defining the slope
452 of the plots as K ($K = \Delta D/\Delta f$), one could expect that a rigid
453 and compact layer would yield a small value of K .⁵⁶ This
454 was experimentally observed for hIgG concentration of
455 805 ng/mL (Figure 6a).

456 The appearance of more than one slope is resolved upon
457 increasing the bulk protein concentration above 11.5 $\mu\text{g/}$
458 mL. We have demonstrated that above this concentration,
459 the hIgG adsorption results in a monolayer surface
460 coverage. These multiple slopes imply that the interfacial
461 phenomena, which happened at hIgG concentrations
462 higher than 11.5 $\mu\text{g/mL}$, are associated with multiple
463 adsorption stages.^{36,37,56,57} During adsorption, the IgG
464 molecules are involved in direct adhesion onto the
465 hydrophobic surface and in interfacial rearrangement
466 including conformation change.^{14,19,22,23,27} For the concen-
467 tration above 11.5 $\mu\text{g/mL}$, at which more than one slope
468 in the ΔD – Δf plots is established, we suggest that the
469 initial slope is associated with molecular adsorption rather
470 than with conformation change. This is because that, at
471 higher concentrations, the initial adsorption kinetics is
472 much faster than the conformation change time scale.
473 After the completion of a monolayer coverage, the con-

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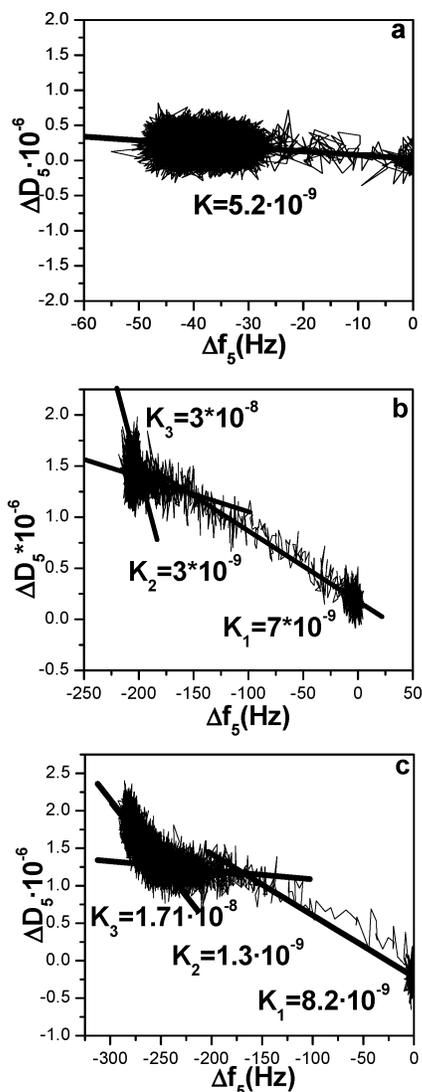


Figure 6. ΔD_5 versus Δf_5 plots generated from experimental data for human IgG adsorption at various solution concentrations. Two categories of relationships with one slope (k_1) or three slopes (k_1 , k_2 , and k_3) were established upon increasing the bulk protein concentration: (a) one slope (at bulk hIgG concentration 805 ng/mL); (b) three slopes (at bulk hIgG concentration 115 $\mu\text{g/mL}$); (c) three slopes (at bulk hIgG concentration 0.46 mg/mL).

Table 3. Slopes in the $\Delta D-\Delta f$ Plots from Figure 6 (K_i = Slope Determined from $\Delta D/\Delta f$ Curves)

hIgG concn	initial slope $K_1 (\times 10^9)$	second slope $K_2 (\times 10^9)$	third slope $K_3 (\times 10^8)$
805 ng/mL	5	none	none
11.5 $\mu\text{g/mL}$	7	none	none
57.5 $\mu\text{g/mL}$	7	none	none
115 $\mu\text{g/mL}$	7	0.7	3
0.46 mg/mL	8	1	2
2.3 mg/mL	13	2	1.2

474 formation change becomes dominant. Thus, a second slope
 475 is resolved because of such a conformation change. Under
 476 all investigated conditions, the second slope is essentially
 477 smaller than the first one (Table 3). It is indicative of the
 478 formation of a compact film. In addition, we identified
 479 “the break points” in the $\Delta D-\Delta f$ plots, at which alteration
 480 to the different slopes K_i occurs. Figure 6 demonstrates
 481 that all first break points in the $\Delta D-\Delta f$ plots are close to
 482 an absolute Δf_5 value of 150 ± 15 Hz. The conversion of
 483 this frequency shift to protein surface coverage gives a

surface coverage value ranging from 350 to 390 ng/cm².
 Here, it was considered that QCM-D overestimates the
 mass uptake by 1.2–1.6, and the mass uptake, i.e., 531
 ng/cm², deduced from eq 1 was modified by this ratio. The
 resulting surface coverage value is in a good agreement
 with the theoretical monolayer coverage, 370 ng/cm², when
 the IgG packing is assumed with an “end-on” orientation.³
 Thus, during the protein adsorption, the first break point
 in QCM-D data corresponds to a monolayer coverage. This
 observation further confirms that the initial slope is
 associated with molecular adsorption and the conforma-
 tion change becomes dominant after the completion of a
 monolayer coverage, i.e., first break point.

At bulk hIgG concentrations above 0.46 mg/mL, there
 is a clear third slope in the $\Delta D-\Delta f$ plots. We have sug-
 gested that a multilayer is formed when the hIgG con-
 centration is higher than 0.46 mg/mL. Therefore, the third
 slope probably corresponds to an additional layer or a
 thicker layer formation. The third slope is larger than the
 first and the second slope. They indicate a loosely bound
 and more flexible layer. Such a loosely bound protein over-
 layer was indicated also by Höök et al.^{56,57} Thus for these
 concentration higher than 0.46 mg/mL, the adsorption of
 hIgG first forms a full monolayer, i.e., the first slope, then
 undergo a conformation change, i.e., the second slope, and
 finally forms a second overlayer, i.e., the third slope.

For the concentrations lower than 11.5 $\mu\text{g/mL}$, at which
 the $\Delta D-\Delta f$ plots display only one slope, we assume that
 hIgG molecular adsorption and its conformation change
 occur simultaneously or at the same time scale.

The QCM-D data in this study suggest a conformation
 change happens during the hIgG adsorption. Höök et
 al.^{56,57} also envisaged conformation changes in adsorbed
 protein monolayers. It has been known that protein
 molecules tend to spread, once adsorbed on a hydrophobic
 surface, to maximize the interaction with the sur-
 face.^{8,14,22,23,25,27} The effective layer thickness determined
 in this study supports the shrinking of the protein layer
 due to such conformation changes (Table 2). Previous AFM
 investigations have supported a “flattening” effect in the
 protein monolayer thickness because of the conformation
 changes.^{27,58}

We have demonstrated that a full monolayer will form
 when the bulk hIgG concentration is higher than 11.5
 $\mu\text{g/mL}$. The surface coverage value for 57.5 $\mu\text{g/mL}$ hIgG
 adsorption is around 400 ng/cm², which is close to the
 theoretical monolayer coverage, i.e., 370 ng/cm², with an
 “end-on” IgG orientation.³ On the basis of the surface
 coverage value in this study, we suggest that an “end-on”
 orientation exists in the formed hIgG monolayer. It has
 been known that IgG prefers an “end-on” interfacial
 orientation with its Fc domain contacting the hydrophobic
 surface.^{14–16,21,28,30} The Fc part of IgG is more hydrophobic
 than the F(ab) part.^{30,59} It has been shown that the Fc
 part has lower structure stability.^{14,60} Hence, these mole-
 cular properties promote the adsorption of IgG molecules
 with their Fc parts onto the surface, which directs the
 F(ab) portion toward the aqueous solution.

Part II: Combined QCM-D/AFM Measurement. By
 using combined QCM-D/AFM measurement, the protein
 adsorption behavior was monitored on both macroscopic
 and microscopic scale simultaneously.^{44,45,46} Because the
 protein adsorption may depend on the roughness of the

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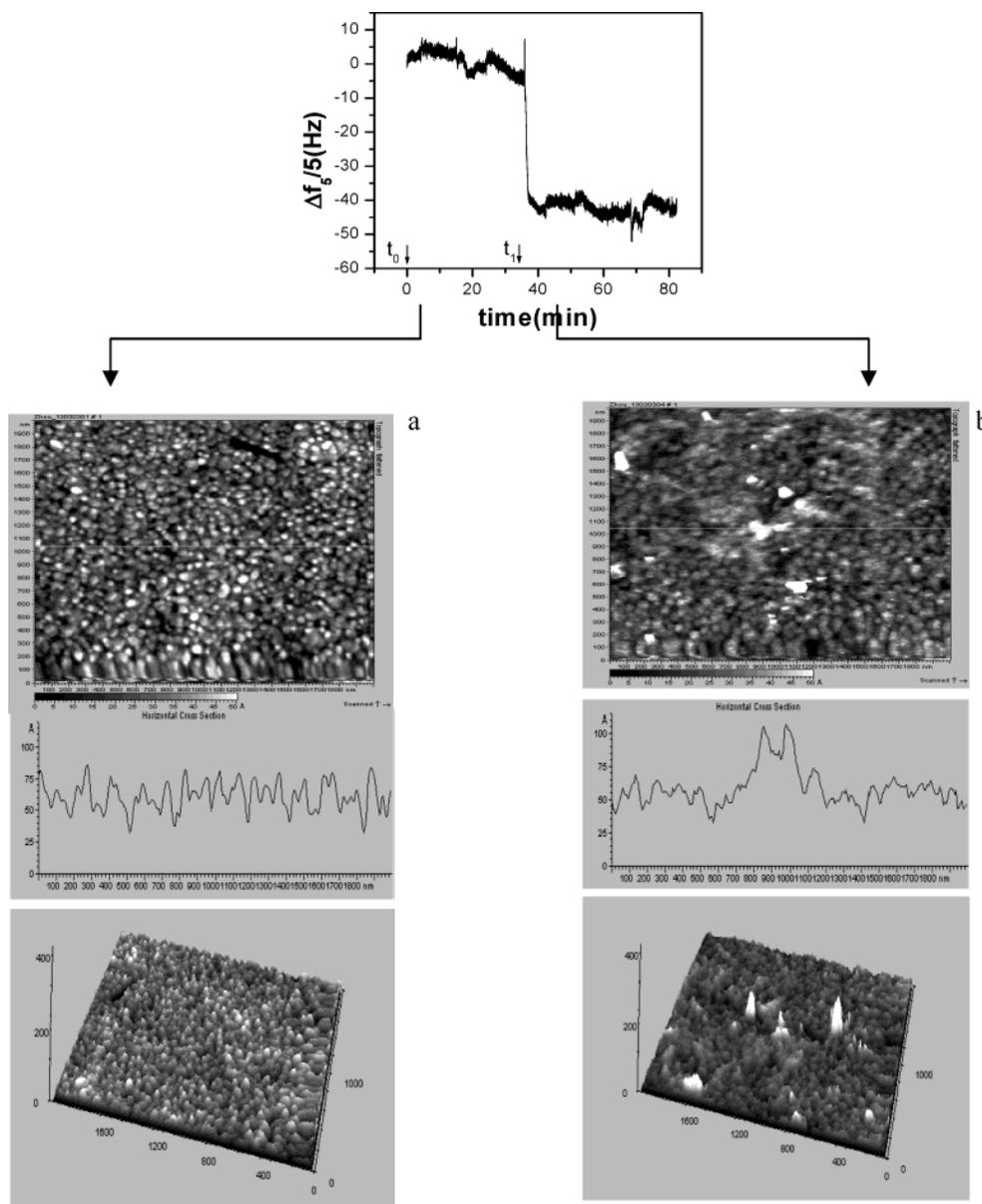


Figure 7. Simultaneous in situ investigation of the human IgG adsorption process at a bulk hIgG concentration of 115 $\mu\text{g/mL}$ by combined tapping-mode atomic force microscopy and quartz crystal microbalance (AFM-QCM) measurements in liquid medium. The QCM response is presented as a normalized frequency shift, $\Delta f_5/n$, recorded at the fifth mode ($f_5 = 25$ MHz). Times t_0 and t_1 indicate the instants of injection of the aqueous buffer and the IgG solution, respectively. The large arrows indicate the time spots from the sensogram at which the scanning of the microscopic images begins: (a) bare sensor chip surface in aqueous buffer; (b) domain surface morphology after adsorption of IgG (the cross section indicates a 3D island growth and heterogeneous interfacial distribution of IgG). The image size is $(2 \times 2) \mu\text{m}^2$.

547 surface,⁶¹ to be able to compare the combined QCM/AFM
 548 results with the above QCM-D and SPR/SAW results, we
 549 investigated hydrophobized gold surfaces bearing the same
 550 roughness in all measurements. AFM investigation showed
 551 that the morphological features of the sensor chips
 552 remained unaltered upon the chemisorption of a self-
 553 assembled alkane thiol monolayer. Gold granules with
 554 diameters around 50–150 nm were present, and they
 555 exhibited height differences of maximum 5–7 nm. The
 556 root-mean-square (rms) roughness value evaluated by
 557 AFM investigations of several bare QCM chips was in
 558 3–5 nm range.

559 **Protein Surface Coverage.** Figure 7 shows combined
 560 QCM/AFM experiments displaying (i) the variation of the
 561 normalized resonance frequency $\Delta f_5/5$ during the adsorp-
 562 tion process and (ii) the on-line AFM images obtained
 563 simultaneously with the QCM sensogram in liquid me-

564 dium. The morphology of the hydrophobized sensor chip
 565 equilibrated with buffer solution before the injection of
 566 the protein (i.e. during the baseline acquisition, $\Delta f = 0$
 567 Hz) is presented in image a.

568 The adsorption of hIgG for a bulk concentration of 805
 569 ng/mL was detected by the QCM sensor with a fre-
 570 quency shift of $\Delta f_5/5 = 10$ Hz. However, the AFM image
 571 did not reveal an apparent morphology change of the initial
 572 chip surface, which remained to be of a granular type
 573 (image not shown). Comparing the dimensions of the IgG
 574 molecule ($14 \times 10 \times 4 \text{ nm}^3$)^{3,21,25,34} with those of the gold
 575 granules (diameters around 50–150 nm), one could
 576 suggest that individual IgG molecule should be imaged
 577 by AFM on flat terraces. However, individual hIgG

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578 molecules could not be resolved here because of the
579 flattening effect during AFM measurement. The latter is
580 caused by both the conformation change and tip convolu-
581 tion effects.^{11,26,34} The flattening effect enhances the x and
582 y dimensions of imaged protein, giving rise to lateral
583 dimensions similar as those of the gold granules. There-
584 fore, it is hard to distinguish the adsorbed protein domains
585 from the gold granules.

586 The initial rms roughness value for the bare sensor
587 chip is around 2.8 nm. After adsorption of 805 ng/mL hIgG,
588 the rms value remained unaltered. According to the results
589 presented in part I, the surface mass density after the
590 adsorption of 805 ng/mL hIgG is 134 ± 40 ng/cm². We
591 estimated that this density only corresponds to $36 \pm 9\%$
592 of a full monolayer coverage (assuming that hIgG adopts
593 an "end-on" orientation, the monolayer coverage would
594 correspond to 370 ng/cm²). Such a low surface coverage
595 is not expected to alter the morphological characteristics
596 of the initial sensor surface considerably.

597 Image b in Figure 7 is recorded 15 min after the onset
598 of the hIgG adsorption from a solution concentration of
599 115 μ g/mL. It reveals masking of the gold grains due to
600 substantial protein deposition. The recorded normalized
601 frequency shift is considerable at this hIgG solution
602 concentration ($\Delta f_3/5 = 45$ Hz). The observed smeared
603 morphological surface features in image 7b are indicative
604 of a soft character of the protein layer. As we demonstrated
605 in the previous section, 115 μ g/mL hIgG forms a fully
606 covered protein film. Thus, these results indicate that a
607 monolayer film can be distinguished from a submonolayer
608 structure by AFM imaging although the single hIgG
609 molecule could not be clearly detected.

610 The AFM image obtained for 11.5 μ g/mL hIgG adsorp-
611 tion further confirms this observation (image not shown).
612 On the basis of the surface mass density values and the
613 BSA adsorption test, we concluded that the adsorption of
614 11.5 μ g/mL hIgG forms a layer corresponding to 81%
615 surface coverage. However, the difference between the
616 AFM images for adsorption at 805 ng/mL and 11.5 μ g/mL
617 was not apparent. In contrast, the difference between the
618 AFM images obtained at 11.5 and 115 μ g/mL hIgG
619 adsorption was quite apparent. These results suggest that
620 the AFM image does reflect the degree of surface coverage
621 and this technique is well effective to distinguish fully
622 covered surfaces from partly covered surfaces.

623 **Protein Layer Organization.** The AFM imaging
624 technique was further used to resolve the ambiguity in
625 determining the organization of the layer formed upon
626 115 μ g/mL hIgG adsorption in the QCM and SPR/SAW
627 measurements. As we discussed in part I, at least a
628 monolayer is formed for the protein layer formed from
629 115 μ g/mL hIgG adsorption. However, whether only a
630 monolayer or multiplayer structure is existed in this layer
631 could not be determined only by the surface mass density
632 value, i.e., 475 ng/cm². Figure 7b shows an apparent island
633 structure protruding above a homogeneous underlayer.
634 Since at least one protein monolayer is formed on the
635 surface, these protruding structures cannot be identified
636 as uncovered gold granules. Thus, the protruding island
637 structures should be adsorbed hIgG domains. The average
638 height difference between the protruding part and the
639 underlayer is 6 nm. With the assumption that the
640 adsorption of 115 μ g/mL hIgG leads to the formation of
641 a monolayer structure and the fact that the height
642 difference is 6 nm, the organization of such a assumed
643 monolayer should correspond to a combination of "flat-
644 on" and "end-on" orientations.³ To explain the observation
645 for the 115 μ g/mL IgG adsorption (Figure 7b), most of the
646 hIgG molecules should be present with a "flat-on" orien-

647 tation (4 nm in height) and a limited fraction of the hIgG
648 should be presented with an "end-on" orientation (10 nm
649 in height). However, the surface coverage for such a layer
650 would not reach a value as high as 475 ng/cm². Thus, a
651 monolayer organization with combined "flat-on" and "end-
652 on" orientation is ruled out. Considering the surface cover-
653 age value and the AFM image feature (Figure 7b), it is
654 reasonable to suggest that the adsorption of 115 μ g/mL
655 hIgG first leads to the formation of a monolayer and that
656 additional IgG molecules adhere on top of the first protein
657 layer to form a second layer with an island structure.

658 Conclusion

659 We investigated hIgG adsorption on a hydrophobic
660 surface with emphasis on the kinetic, viscoelastic variation,
661 interfacial hydration, and structural details obtained by
662 QCM-D, combined SPR/SAW, and combined QCM-D/AFM
663 measurement techniques.

664 The QCM-D and the combined SPR/SAW data show
665 similar trends in the adsorption kinetics. The adsorption
666 of hIgG on a hydrophobic surface is very fast and
667 irreversible. The adsorption kinetics show concentration
668 dependence: the higher the concentration, the faster the
669 initial adsorption stage is. Interestingly, the higher the
670 concentration, the longer the time to reach a saturation
671 of the signal at the solid/liquid interface.

672 The adsorption isotherm indicates that the hIgG
673 adsorption does not reach a plateau value even when the
674 bulk hIgG concentration is as high as 2.3 mg/mL. The
675 hIgG forms a fully covered monolayer with presumed "end-
676 on" orientation when the concentration is higher than
677 11.5 μ g/mL but does not exceed 57.5 μ g/mL. Further
678 increase in the bulk concentration leads to a multilayer
679 like structures. A conformation change occurring after
680 the extended contact of the initial monolayer with the
681 solid surface results in a compact monolayer with a
682 thickness much less than 10 nm.

683 For the hIgG layer formed at low surface coverage, the
684 QCM signal clearly reveals the protein adsorption, while
685 the AFM imaging cannot resolve the morphology of the
686 layer. For hIgG layer corresponding to a monolayer
687 coverage or above, both the QCM and AFM techniques
688 response to the features of the protein adsorption.

689 The viscoelastic effect established in QCM-D measure-
690 ment could be quantified by the comparison of the results
691 from QCM-D and combined SPR/SAW. Considering that
692 the adsorbed hIgG layer barely contains water, as sug-
693 gested by the combined SPR/SAW measurement, the
694 dissipation changes are attributed to the viscoelastic vari-
695 ation occurring within the protein layer and related to
696 the conformation change of the adsorbed hIgG molecules.
697 The QCM-D slightly overestimates the mass uptake on
698 the sensor surface by a ratio around 1.5. This implies that
699 there is a limited viscoelastic effect in the protein layer
700 as indicated by the small dissipation factor value.

701 The present investigation covers a broad range of hIgG
702 bulk concentrations (from 87.5 ng/mL to 2.3 mg/mL). It
703 is in agreement with results reported by others previously
704 and also provides new information on the adsorption
705 behavior of hIgG. This study demonstrates the merit and
706 potential of using combined techniques for studying pro-
707 tein adsorption, especially for the elimination of possible
708 quantitative ambiguities resulting from viscoelastic and
709 hydration effects.

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