

GNURadio as a  
general purpose  
digital signal  
processing  
environment

J.-M Friedt & al

Basics of  
radiofrequency –  
software defined  
radio (SDR)

The GNURadio  
environment

Write your own  
processing block

Time &  
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Adding a new  
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Conclusion and  
bibliography

# GNURadio as a general purpose digital signal processing environment

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All references available at <http://jmfriedt.free.fr>

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# Why digital ? Why software ?

Software provides **flexibility, reconfigurability, reproducibility** <sup>1</sup>

- ① flexibility: use the same hardware for multiple purposes (analog/digital signal decoding) ⇒ no need for hardware modification
- ② flexibility: update processing parameters depending on the environment or the conditions (flight/landing/mission)
- ③ reproducibility: no drift of processing result as a function of aging or environment (temperature ?)

⇒ shift from hardware to software

**BUT** limited bandwidth (cf SAW filters/correlators), and signal to noise/ratio + discretization ?

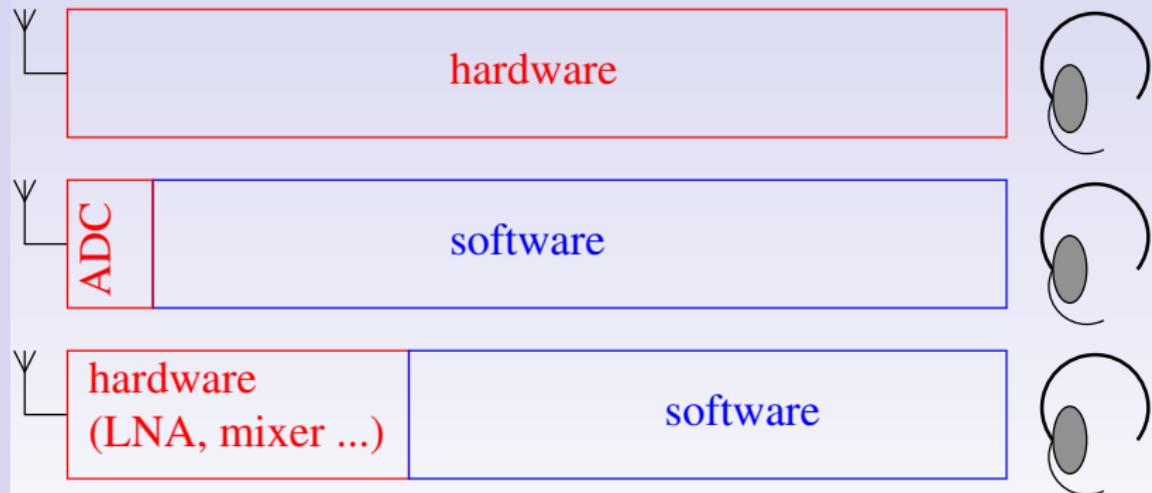
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<sup>1</sup>D.A. Mindell, *Digital Apollo – Human and Machine in Spaceflight*, MIT Press (2008)

E.C. Hall, *Journey to the Moon – the history of the Apollo Guidance Computer*, American Institute of Aeronautics and Astronautics (1996)

# Concepts of SDR

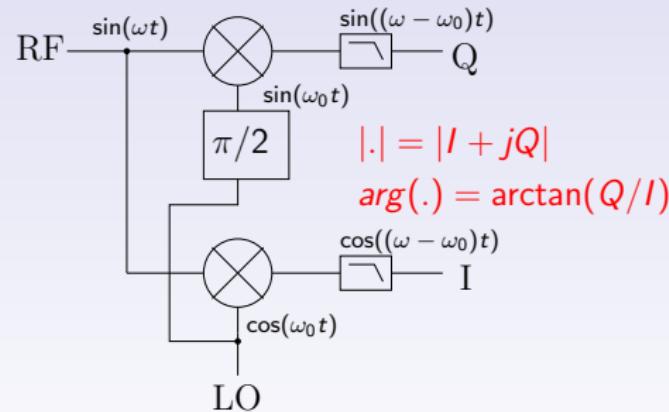
From all hardware receiver to a single front-end A/D converter (ADC)  
followed by software digital signal processing  
→ not applicable due to A/D bandwidth and memory usage <sup>2</sup>



<sup>2</sup>K. Borre, D.M. Akos, N. Bertelsen, P. Rinder & S.H. Jensen, *A Software-Defined GPS and Galileo Receiver: A Single-Frequency Approach*, Birkhäuser Boston (2007) and slides at <http://kom.aau.dk/project/softgps/> and [http://kom.aau.dk/project/softgps/GNSS\\_SummerSchool\\_DGC.pdf](http://kom.aau.dk/project/softgps/GNSS_SummerSchool_DGC.pdf)

# Consumer electronics for SDR

- Many sources of radiofrequency A/D converters, in our examples Elonics E4000 + Realtek RTL2832U<sup>3</sup> and sound card for I/Q outputs<sup>4</sup>, but also radiomodems and DDS (USRP)
- sampling bandwidth up to 64 Msamples/s  $\Rightarrow$  zero-IF approach
- Raw information: stream of periodically sampled I and Q values (2.8 MS/s for E4k, 96 or 192 kS/s for sound card)



$$|.| = |I + jQ|$$

$$\arg(.) = \arctan(Q/I)$$

<sup>3</sup><http://sdr.osmocom.org/trac/wiki/rtl-sdr>

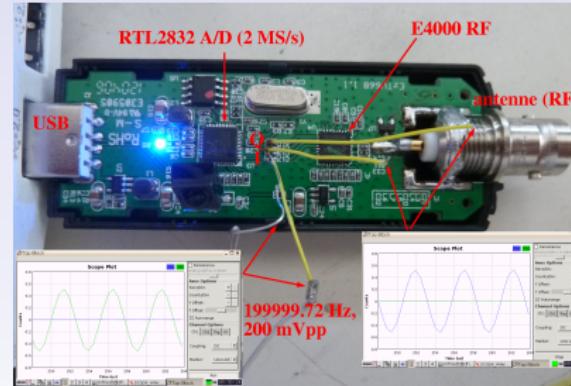
<sup>4</sup>Agilent, *Digital Modulation in Communications Systems – An Introduction*, Application Note 1298, or M. Steer, *Microwave and RF design – a systems approach*, SciTech Publishing, Inc (2010)

# The GNURadio environment

Having obtained a stream of I/Q bytes, software processing blocks:

- input (USRP, DVB receiver, sound card ...)
- process
- output (file, audio stream, stdio, virtual oscilloscope/spectrum analyzer)

gnuradio-companion: GUI for assembling blocks and generator of Python file



- 8-bit ADC for high bandwidth (oversampling does not compensate for low resolution: <sup>5</sup>)  $1 \text{ bit}/\text{sampling rate} \times 4 \Rightarrow 2800/92 \simeq 30 = 2.5 \text{ bits}$

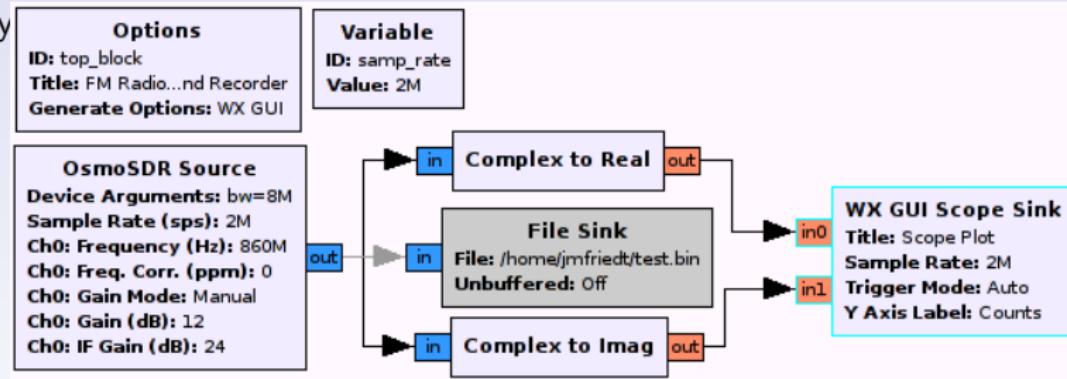
<sup>5</sup> Application Note AN2668, *Improving STM32F101xx and STM32F103xx ADC resolution by oversampling*, ST Microelectronics, 2008

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## Basics of radiofrequency – software defined radio (SDR)

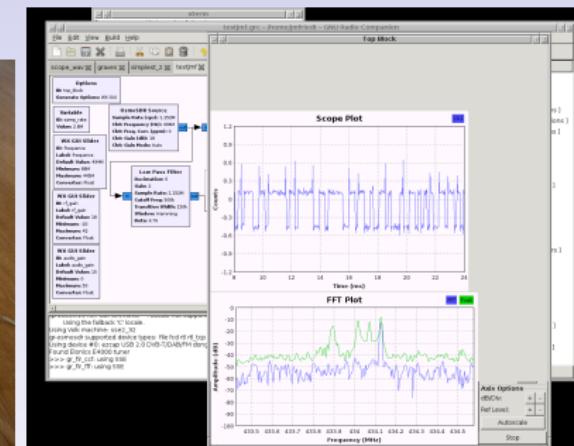
## The GNURadio environment

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Time &  
frequency

## Adding a new source

## Conclusion and bibliography



However, neither decoder for digital protocol I am interested in (ACARS), nor tools for time & frequency analysis  $\Rightarrow$  opensource tool, write your own if missing !

# Write your own processing block

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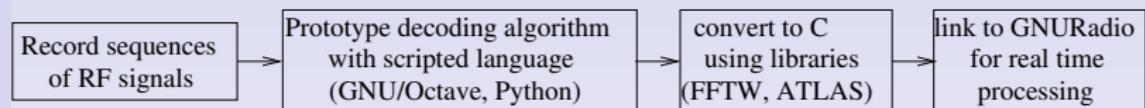
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**GNURadio is opensource** ⇒ add the missing blocks by learning from other's source code



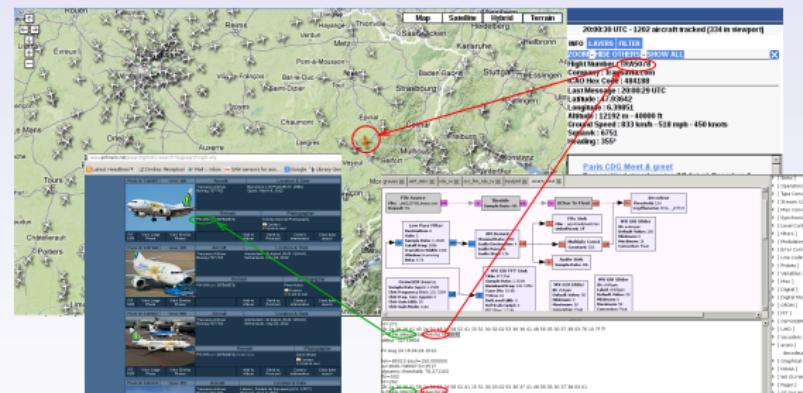
Development strategy:

- ① prototyping using GNU/Octave (Matlab compatible) on recorded datasets,
- ② convert to C(++) and test on the same recorded datasets,
- ③ comply with gnuradio-companion block description and test on recorded datasets but with chunks of unknown size,
- ④ apply to real time decoding.

# Write your own processing block

Example of the ACARS protocol<sup>6</sup>, used on VHF band (131.725 MHz in Europe):

- ① encoding at 1200 (bit 0) and 2400 Hz (bit 1)<sup>7</sup>
- ② data rate of 1200 bps
- ③ header to tune AGC of RF frontend: stream of 2400 Hz data ( $\geq 13$  periods)
- ④ data interpretation: 0 means the bit value changes, 1 means the bit value remains constant



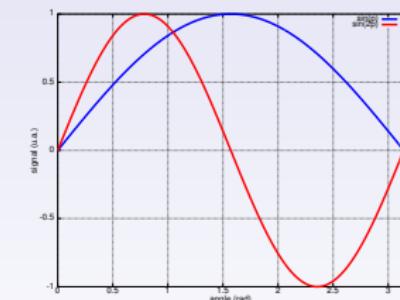
<sup>6</sup><http://files.radioscanner.ru/files/download/file4094/acars.pdf>

<sup>7</sup><http://www.tapr.org/aprsdoc/ACARS.TXT>

# Write your own processing block

Bit identification: many means to an end

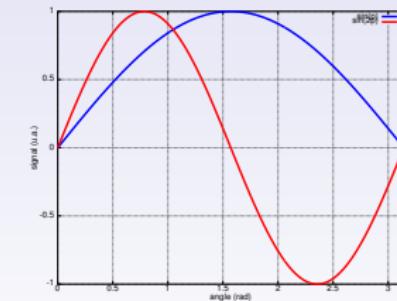
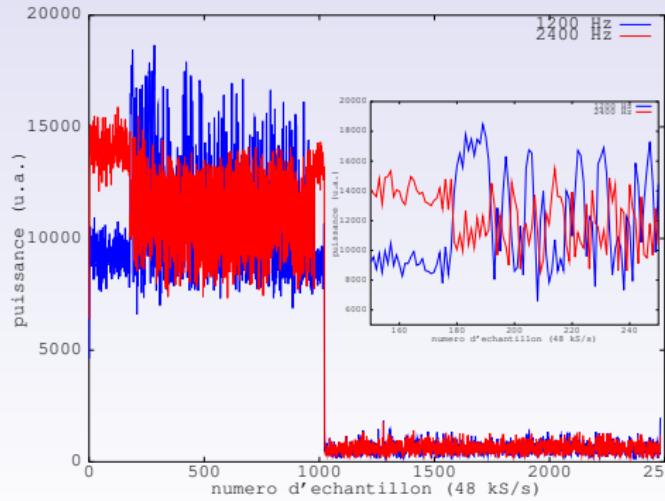
- time-domain band-pass filter (FIR) ... general purpose,
- convolution with the expected signals (1200 & 2400 Hz sine wave)  
⇒ frequency domain (requires FFT),
- use at best the signal encoding properties
  - $\int_0^1 \sin(2\pi t) \sin(\pi t) dt \propto$   
 $\int_0^1 (\cos(3\pi t) - \cos(\pi t)) dt =$   
 $\sin(3\pi) - \sin(0) - (\sin(\pi) - \sin(0)) = 0$
  - $\int_0^1 \sin(2\pi t) \sin(2\pi t) dt =$   
 $1/2 \times \int_0^1 (\cos(4\pi t) - \cos(0)) dt =$   
 $1/2 \times (\sin(4\pi) - \sin(0) + 1) = 1/2$
  - $\int_0^1 \sin(\pi t) \sin(\pi t) dt =$   
 $1/2 \times \int_0^1 (\cos(2\pi t) - \cos(0)) dt =$   
 $1/2 \times (\sin(4\pi) - \sin(0) + 1) = 1/2$



# Write your own processing block

## Bit identification: many means to an end

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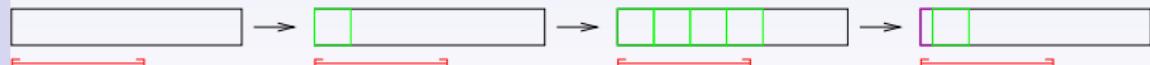


# From GNU/Octave to C

- Manual conversion. Could it be optimized ? (using Mathworks HDL Coder ?)
- FFT with different normalization convention  $\Rightarrow$  update threshold values
- From a complete (recorded) dataset to a stream of blocks of variable size

Solution:

- ① fill buffer until the required datasize has been accumulated, and process a given number of data
- ② Reinitialize the buffer with the remaining, unprocessed, data.



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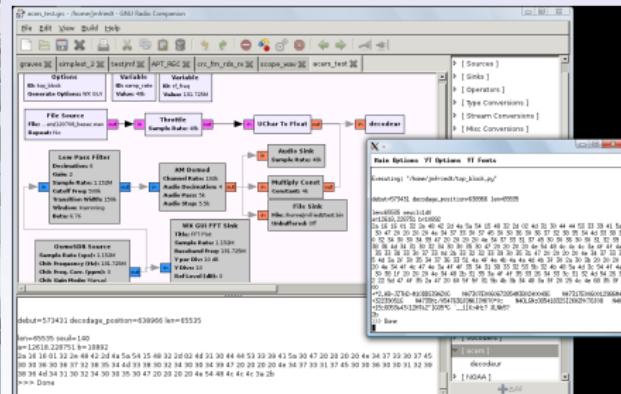
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## Result: decoding a digital protocol

Aircraft=-TC-JRI  
Seq. No=4d 39 34 41 M94A  
Flight=TK02J  
Flight=LGALVTLBA0714/120509/0714/02JR/827/68/OT 0700/0821/0002/  
  
Aircraft=-SX-DGH  
Seq. No=53 31 35 41 S15A  
Flight=A30904  
  
Aircraft=-SX-DGH  
Seq. No=53 31 35 41 S15A  
Flight=A30904  
DEV072004V  
  
Aircraft=-D-6090  
Seq. No=43 32 34 41 C24A  
Flight=CA0961  
#CFBCTN BX(E)-4110K5JA,HARD,WVN321010MAINTENANCE STATUS EEC 18.05.2005/  
FR383114VSC\_11...,\_LAV\_54,HARD,052005/WVN3831005MAINTENANCE STATUS  
TOLET,052106/FRA454122AFS\_1,...,PRINTER(2TP),HARD,0g]  
  
Aircraft=-D-6090  
Seq. No=43 32 34 42 C24B  
Flight=CA0961  
#CFBCTN BX(E)-4110K5JA,HARD,WVN321010MAINTENANCE STATUS EEC 18.05.2005/  
FR383114VSC\_11...,\_LAV\_54,HARD,052005/WVN3831005MAINTENANCE STATUS  
TOLET,052106/FRA454122AFS\_1,...,PRINTER(2TP),HARD,0g]  
  
Aircraft=-B-6090  
Seq. No=43 32 35 42 C19B  
Flight=CA0961  
#FBCTN BX(E)-4110K5JA,HARD,WVN321010MAINTENANCE STATUS EEC 18.05.2005/  
FR383114VSC\_11...,\_LAV\_54,HARD,052005/WVN3831005MAINTENANCE STATUS  
TOLET,052106/FRA454122AFS\_1,...,PRINTER(2TP),HARD,0g]  
  
Aircraft=-B-6090  
Seq. No=43 32 35 42 C19B  
Flight=CA0961  
#FBCTN BX(E)-4110K5JA,HARD,WVN321010MAINTENANCE STATUS EEC 18.05.2005/  
FR383114VSC\_11...,\_LAV\_54,HARD,052005/WVN3831005MAINTENANCE STATUS  
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Aircraft=-B-6090  
Seq. No=44 31 33 44 D13D  
Flight=CA0961  
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Aircraft=-B-6090  
Seq. No=44 31 33 45 D13E  
Flight=CA0961  
#FBCTN BX(E)-4630114B,9.030,4.026,123E7,077,082,102,3,001,2,047,1,0.04,0,0.04,0,0.01,020,0.1  
  
Aircraft=-B-6090  
Seq. No=44 31 33 45 D13E  
Flight=CA0961  
#FBCTN BX(E)-4630114B,9.030,4.026,123E7,077,082,102,3,001,2,047,1,0.04,0,0.04,0,0.01,020,0.1  
  
Aircraft=-B-6090  
Seq. No=43 32 36 41 C26A  
Flight=CA0961  
#FCBCTN BX(E)-4110K5JA,HARD,WVN321010MAINTENANCE STATUS EEC 18.05.2005/  
FR383114VSC\_11...,\_LAV\_54,HARD,052005/WVN3831005MAINTENANCE STATUS  
TOLET,052106/FRA454122AFS\_1,...,PRINTER(2TP),HARD,0g]  
  
Aircraft=-B-6090  
Seq. No=43 32 36 41 C26B  
Flight=CA0961  
#FCBCTN BX(E)-4110K5JA,HARD,WVN321010MAINTENANCE STATUS EEC 18.05.2005/  
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Aircraft=-B-6090  
Seq. No=53 33 35 41 S53A  
Flight=CA0961

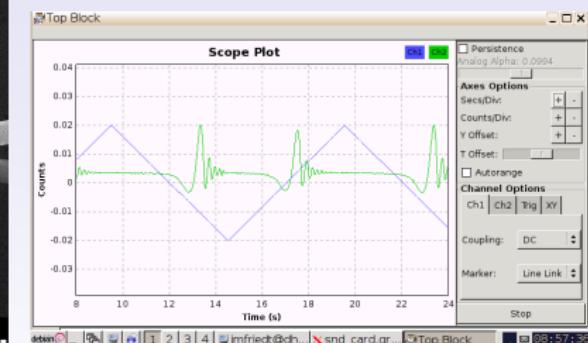
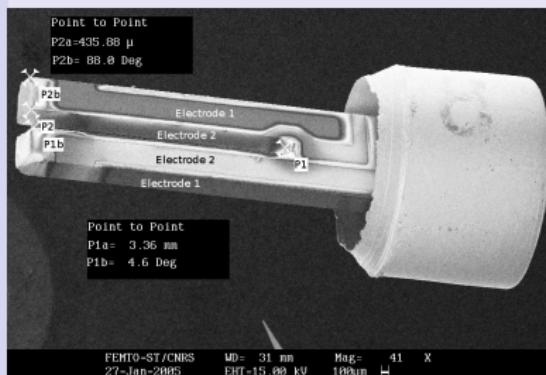
```
binnaire=fft_decod('acars_orleans.wav',101001+2.15e6,
101001+2.15e6+40000,7000);

2b 2a 16 16 01 58 2e 47 2d 45 55 55 47 15 48 31
39 02 43 30 33 41 42 41 39 32 31 36 23 43 46 42
57 52 4e 2f 57 4e 31 32 30 36 33 30 30 38 33 33
30 30 33 34 30 30 30 30 36 4e 41 56 20 49 4c
53 20 32 20 46 41 55 4c 54 20 20 20 20 20 20
20 20 0d 03 6d 1d 7f 7f 7f 7f 7f 7f 7f 7f
m*X.G-EUUGH19C03ABA9216#CFBWRN/WN12063008330034
000006NAV ILS 2 FAULT
CRC:0oooooooooooooooooooo0oooooooooooooooooooo0oooo
0oooooooooooooooooooo0oooooooooooo0oooooooooooo-100-1-1-1-1-1-1
```



# Application to time & frequency

- GNURadio: **playground** for implementing and testing protocols compatible with real time processing of data streams
- beyond wireless data transmission: physics and feedback control
- Current testing approach (getting started): use of the sound card as emitter and receiver. Full duplex  $\Rightarrow$  audio network analyzer
- Application to the quartz tuning fork (32768 Hz)



- Comparator to convert sine to square and use 3rd overtone + amplitude detector

# Application to time & frequency

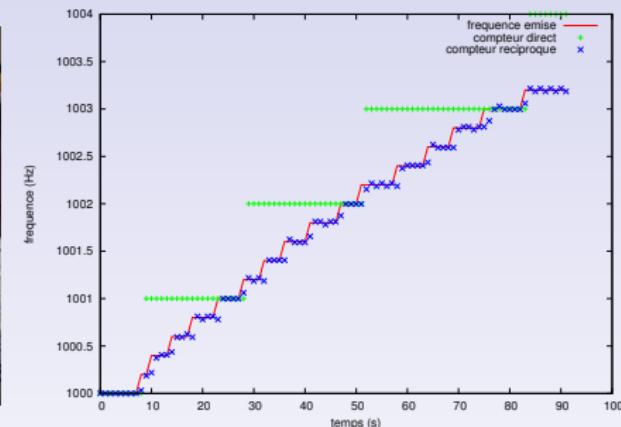
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- Comparator to convert sine to square and use 3rd overtone + amplitude detector

# Example of the frequency counter

Implementing the direct and reciprocal frequency counters (96 kHz sampling rate on the sound card)



Left: quartz tuning fork experimental setup

Right: synthesized signal

$\Delta f_i = 1/T_{gate} \rightarrow \Delta f_i = \frac{f_i}{f_r \times T_{gate}}$ : resolution gain is  $f_r/f_i$ , or 48-fold if  $f_r = 96$  kHz and  $f_i \simeq 2$  kHz,

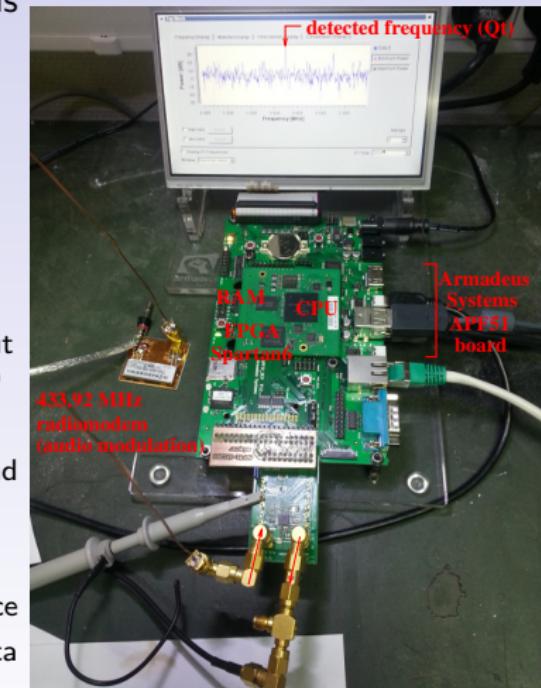
⇒ basic tool to assess the sampling rate to measured signal frequency influence on measurement resolution

# New source to GNURadio

## Example of the Semtech **SX1255** ra- diofrequency frontend on a Armadeus Systems **APF51**:

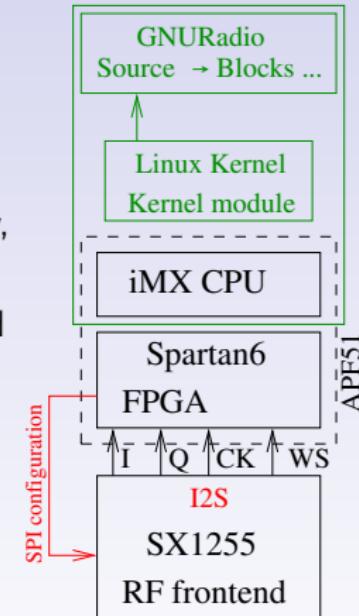
- emitter and receiver front ends operating in 400-510 MHz range
- I/Q input flow (modulator) and I/Q output flow (demodulator)
- I<sup>2</sup>S data format (one channel I, one channel Q)
- variable decimation factor ( $\Sigma\Delta$  output at 36 MHz, and decimates to get I/Q resolution)

- grab I/Q data flow of the receiver and store (FPGA)
- transfer from FPGA to CPU
- transfer from kernel module to user space
- provide a GNURadio-compatible data source



## Development strategy

- ① source reads file from userspace (throttle block needed)
  - ② source reads from kernel module fed by FPGA running a DDS (kernel module communication to FPGA validated previously independently of GNURadio)
  - ③ FPGA reads from SX1255 (clock source) and stores in RAM before transferring to CPU (clock domain crossing)
  - ④ CPU requests data from FPGA (FPGA RAM filled generates interrupt after a request for data from the CPU): one thread in the GNURadio source permanently acquires data from FPGA



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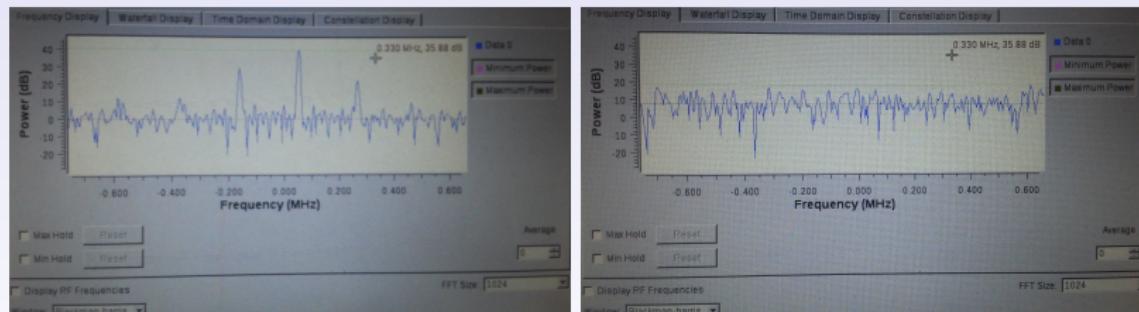
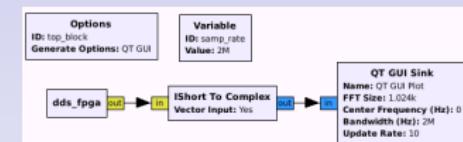
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# New source to GNURadio

- A source is a processing block with 0 input (item vector is the unit information size of noutput\_items)
- I and Q interleaved output (two shorts): `ishort` GNURadio format
- `ishort` → complex processing block (vector input=yes)
- 36 MHz/9=4 MS/s at 9 bit I and Q resolution



**Problem:** continuous dataflow ?

**TODO:** dual buffers in the FPGA and the GNURadio source

# Conclusion

## Results:

- SDR: digital processing for improved stability & flexibility (software prototyping)
- reusable software through multiple sources
- part of an active opensource project
- existing basic processing blocks
- initial graphical user interface or Python programming

**Literature** (PDF available at <http://jmfriedt.free.fr>):

- J.-M Friedt, G. Goavec-Mrou La réception radiofréquence définie par logiciel (Software Defined Radio – SDR), *GNU/Linux Magazine France* **153** (Oct. 2012), pp.4-33 [French, English translation at [jmfriedt.free.fr/en\\_sdr.pdf](http://jmfriedt.free.fr/en_sdr.pdf)]
- J. Marc, C. Canard, A. Vailly, V. Pichery, J.-M. Friedt Le diapason quartz comme capteur : utilisation de la carte son de PC pour l'instrumentation *Bulletin de l'Union des Physiciens* **107** (958), pp.1051-1076 (2013) [in French]
- J.-M Friedt Hacking the radiofrequency spectrum: GNURadio as a signal processing prototyping tool OHM 2013 (Observe, Hack, Make) (The Netherlands, 31 Jul-04 Aug. 2013)
- <https://www.cgran.org/wiki/ACARS> (tested on GNURadio 3.7)

**Next step:** port to Zynq platform

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 J. Hamkins & M.K. Simon, *Autonomous Software-Defined Radio Receivers for Deep Space Applications*, Deep Space Communications and Navigation Series Vol. 9, at [http://descanso.jpl.nasa.gov/Monograph/series9/Descanso9\\_Full\\_rev2.pdf](http://descanso.jpl.nasa.gov/Monograph/series9/Descanso9_Full_rev2.pdf)

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 T. McDermott, *Wireless Digital Communications: Design and Theory 2nd Ed.*, Tucson Amateur Packet Radio Corporation – TAPR (1998)

 R.H.L. Stroop, *Enhancing GNU Radio for Run-Time Assembly of FPGA-Based Accelerators*, master thesis, Faculty of the Virginia Polytechnic Institute and State University (2012)

# Complying with gnuradio-companion structure

```
int counters_counters::general_work (int noutput_items,
                                     gr_vector_int &ninput_items,
                                     gr_vector_const_void_star &input_items,
                                     gr_vector_void_star &output_items)
{
    const float *in = (const float *) input_items[0];
    float *out = (float *) output_items[0];
    float min=500.,max=-500.;
    int k,N,cpt,debut,fin;

    N=noutput_items;
    for (k=_Ntot;k<_Ntot+N; k++) {_dm[k]=in[k-_Ntot];}
    _Ntot+=N;
    if (_Ntot>_tgate) // active compteur
        {printf("tgate=%d Ntot=%d N=%d ",_tgate,_Ntot,N);
         // compteur direct
         cpt=0;
         for (k=0;k<_tgate-1;k++)
             if ((_dm[k]>=_seuil)) && (_dm[k+1]<(_seuil))) cpt++;
         printf(" freq=%f cpt=%d ",_freq,cpt);
         // compteur reciproque
         cpt=0; k=-1;
         do {k++;} while (!((dm[k]>=_seuil)) && (dm[k+1]<(_seuil)));
         debut=k; k=debut+_tgate;
         do {k++;} while (!((dm[k]>=_seuil)) && (dm[k+1]<(_seuil)));
         fin=k;
         for (k=debut+1;k<=fin ;k++)
             if ((dm[k]>=_seuil)) && (dm[k+1]<(_seuil))) cpt++;
         for (k=fin -1;k<_Ntot; k++) _dm[k-(fin -1)]=dm[k];
         printf(" cpt=%d fin-deb=%d f=%f\n",cpt,fin-debut,(float)_samp_rate/(float)(fin-debut)*((float)cpt));
         _Ntot-=(fin -1);
     }
    consume_each (noutput_items);
    return noutput_items;
}
```