

Piezoelectric acoustic transducers as narrowband passive sensors for wireless measurement in the European ISM band (434 MHz): application to the measurement of the temperature of buried structures

J.-M Friedt ¹, S. Ballandras ^{1,2}
G. Martin ², S. Alzuaga ², T. Laroche ², T. Baron ², É. Lebrasseur ²

¹ SENSEOR SAS, Besançon, France

² FEMTO-ST Time & frequency dpt., UMR 6174 CNRS, Besançon, France

Contact: {jmfriedt,ballandr}@femto-st.fr

slides & references available at <http://jmfriedt.free.fr>



March 3, 2012



Outline of the presentation

Outline

Piezoelectric
sensor
interrogation

Frequency-
domain
approach

Time-domain
approach

HBAR sensor

HBAR wireless
interrogation

Conclusion

Appendix

Objective:

solution for buried/embedded sensors for structural health monitoring

Requirements for embedded sensors:

- Problem of local energy source: how to replace battery when the sensor is buried or in concrete ? (WSN¹²)
- External energy source: excessive time lapse needed to charge circuit
- RFID will not provide measurement + short range
- Solution: passive sensors interrogated through a wireless link

Outline:

- 1 Acoustic sensor basics and properties
- 2 Application to wireless measurement of passive transducers using custom reader electronics
- 3 Ground Penetrating RADAR (GPR) for SAW delay lines
- 4 Extension to HBAR

¹ K.M. Farinholt, G. Park, C.R. Farrar, *RF energy transmission for low-power wireless impedance sensor node*, IEEE Sensors Journal 9 (7), 2009 793-900

² S.G. Taylor, K.M Farinholt, G. Park, C.R. Farrar, *Energy harvesting and wireless energy transmission for powering SHM Sensor Nodes*, Los Alamos report (2010) available at permalink.lanl.gov/object/tr?what=info:lanl-repo/la-report/LA-UR-09-07854

Embedded sensor requirements

Outline

Piezoelectric
sensor
interrogation

Frequency-
domain
approach

Time-domain
approach

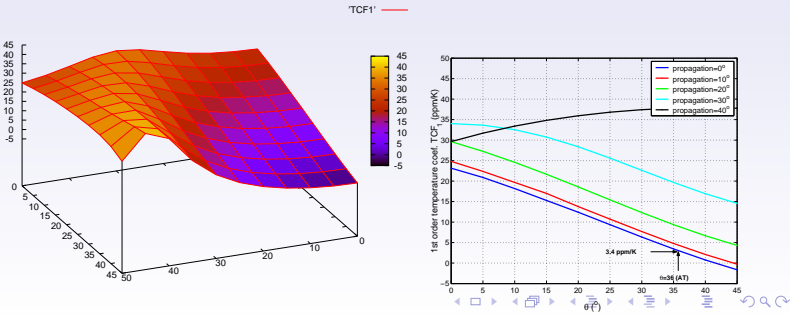
HBAR sensor

HBAR wireless
interrogation

Conclusion

Appendix

- Sensors = dielectric or piezoelectric substrate.
- Piezoelectric is the most compact way of converting incoming radiofrequency (RF) energy to a mechanical wave acting as physical quantity probe
- Rich field thanks to the anisotropic property of piezoelectric materials (sensitive or insensitive to given physical effects)
- Competitive: RF filter & resonator industry (Murata, Taisaw, Rakon, EPCOS, Triquint, ...) ← cost-effective mass production of the considered technology (single patterned metallic layer step)



Embedded sensor requirements

Outline

Piezoelectric
sensor
interrogation

Frequency-
domain
approach

Time-domain
approach

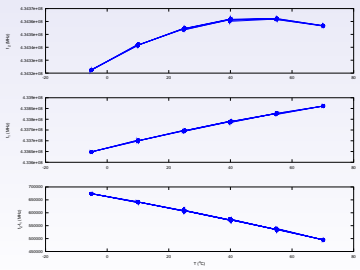
HBAR sensor

HBAR wireless
interrogation

Conclusion

Appendix

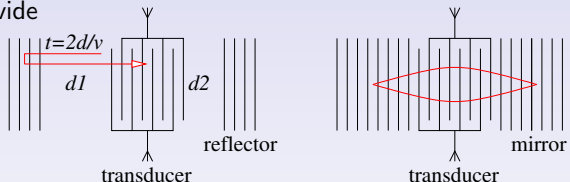
- Sensors = dielectric or piezoelectric substrate.
- Piezoelectric is the most compact way of converting incoming radiofrequency (RF) energy to a mechanical wave acting as physical quantity probe
- Rich field thanks to the anisotropic property of piezoelectric materials (sensitive or insensitive to given physical effects)
- Competitive: RF filter & resonator industry (Murata, Taisaw, Rakon, EPCOS, Triquint, ...) ← cost-effective mass production of the considered technology (single patterned metallic layer step)



A **piezoelectric** substrate converts incoming RF signal to a mechanical wave: two approaches

- store energy and release it during the listening step: resonator (narrowband, frequency output)
- impulse response: delay line approach (wideband, time delay output)

Both approaches provide positive aspects and drawbacks (radio-frequency emission compliance)



Lower frequency in order to improve penetration depth of RF signal in dielectrics, within acceptable antenna dimension limits and RF emission compliance (315 & 426 MHz in Japan, 434 MHz in Europe)

Linear process: whatever incoming energy reaches the sensor, some signal will be returned (no threshold), but can only be detected if above the receiving stage noise level.

Frequency domain approach (resonators)

Outline

Piezoelectric
sensor
interrogationFrequency-
domain
approachTime-domain
approach

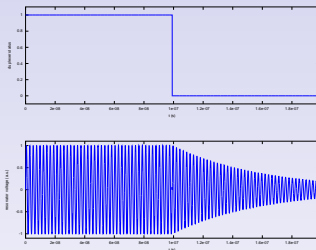
HBAR sensor

HBAR wireless
interrogation

Conclusion

Appendix

- Low loss device (most incoming energy is stored and released during the listening step)
- Dedicated electronics: frequency-sweep, monostatic pulse mode RADAR
- Fewer Intellectual Properties issues
- Time constant: $Q/(\pi f)$ for a resonator operation at $f \simeq 434$ MHz and $Q \simeq 10000$: $6 \mu\text{s}$
- Typical interrogation duration: 128 points over 2 MHz wide ISM band, $60 \mu\text{s}/\text{sample} = 8 \text{ ms}$
- Compatible with intermittently visible sensors (rotating & moving part)



Wireless measurement (frequency)

Outline

Piezoelectric sensor interrogation

Frequency-domain approach

Time-domain approach

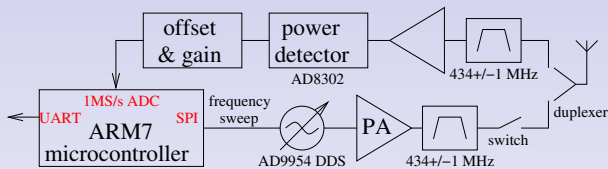
HBAR sensor

HBAR wireless interrogation

Conclusion

Appendix

Measurement strategy:
frequency sweep-
monostatic
RADAR
interrogation



- ① program frequency synthesizer at frequency f
- ② send RF pulse for a duration longer than $Q/(\pi f)$ s (resonator load)
- ③ switch antenna from emission (synthesizer + power amplifier) to reception (low noise amplifier + power detector)
- ④ record magnitude of returned signal (wideband power detector) within the frequency interrogation range after a duration $< Q/(\pi f)$ (resonator energy release)
- ⑤ $f \rightarrow f + \Delta f$ & repeat for all values of f in interrogation band ^{3 4}

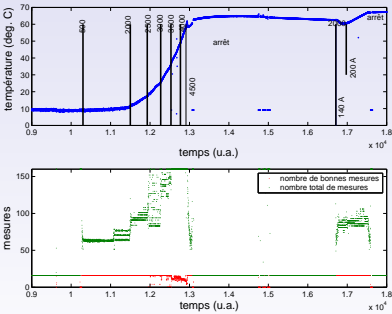
³ J.-M Friedt, C. Droit, G. Martin & S. Ballandras, A wireless interrogation system exploiting narrowband acoustic resonator for remote physical quantity measurement, Rev. Sci. Instrum. **81**, 014701 (2010)

⁴ C. Droit, G. Martin, S. Ballandras & J.-M Friedt, A frequency modulated wireless interrogation system exploiting narrowband acoustic resonator for remote physical quantity measurement, Rev. Sci Instrum. **81** (5), 056103 (2010)

Frequency domain approach (resonators)

Moving objects: main parameter is angular coverage of the rotating (sensor) antenna and static (interrogation unit) antenna.

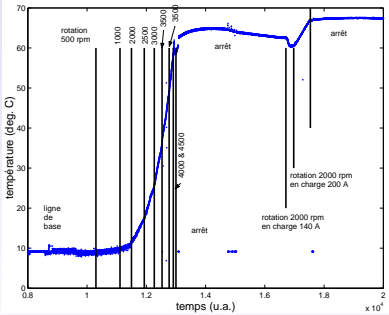
5000 RPM electric motor



Frequency domain approach (resonators)

Moving objects: main parameter is angular coverage of the rotating (sensor) antenna and static (interrogation unit) antenna.

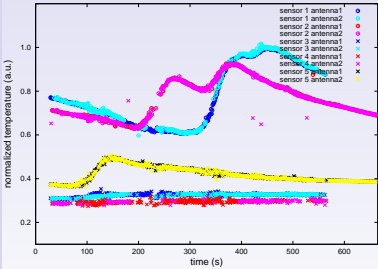
5000 RPM electric motor



Frequency domain approach (resonators)

Moving objects: main parameter is angular coverage of the rotating (sensor) antenna and static (interrogation unit) antenna.

up to 280 km/h motorbike tire

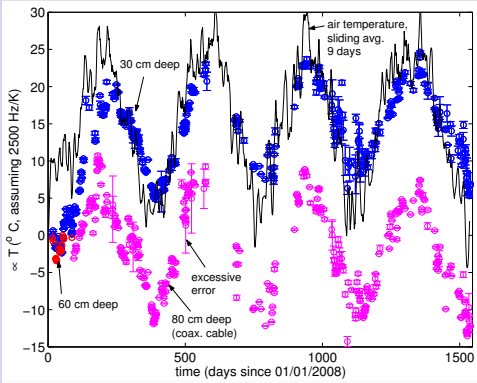


5 sensors located around a wheel, frequency multiplexing, data cleaned using a standard deviation criterion

Frequency domain approach (resonators)

Long term monitoring of temperature sensors buried 30, 60 (dead) and 80 cm deep

Robust solution: no cable, resistant to lawn mowing, no visible drift, measurements consistent with reference data (www.meteociel.fr)⁵

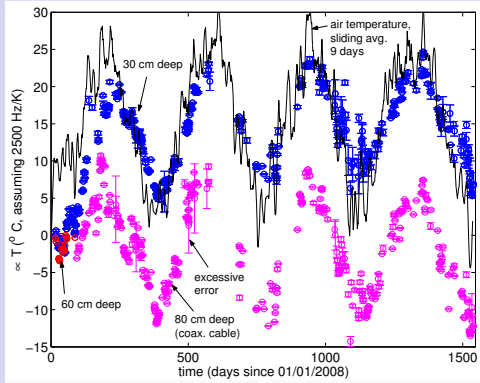


⁵ J.-M. Friedt, T. Rétornaz, S. Alzuaga, T. Baron, G. Martin, T. Laroche, S. Ballandras, M. Griselin, J.-P. Simonnet, *Surface Acoustic Wave Devices as Passive Buried Sensors*, Journal of Applied Physics **109** (3), p.034905 (2011)

Frequency domain approach (resonators)

Long term monitoring of temperature sensors buried 30, 60 (dead) and 80 cm deep

Robust solution: no cable, resistant to lawn mowing, no visible drift, measurements consistent with reference data (www.meteociel.fr)⁵



⁵ J.-M. Friedt, T. Rétornaz, S. Alzuaga, T. Baron, G. Martin, T. Laroche, S. Ballandras, M. Griselin, J.-P. Simonnet, *Surface Acoustic Wave Devices as Passive Buried Sensors*, Journal of Applied Physics **109** (3), p.034905 (2011)

Double glazing window insulation

Outline

Piezoelectric sensor interrogation

Frequency-domain approach

Time-domain approach

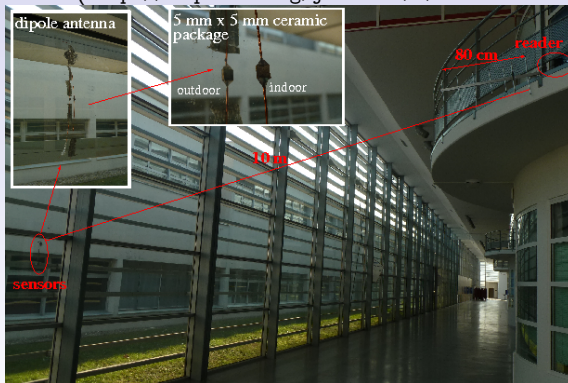
HBAR sensor

HBAR wireless interrogation

Conclusion

Appendix

- Two temperature sensors, one indoor and one outdoor (dipole),
- 5 second measurements every 5 minutes,
- Bluetooth link between interrogation unit and laptop connected to the internet (<http://sequanux.org/jmfriedt/t/resultat.html>)



10 m interrogation range using a 5-element Yagi-Uda antenna, no correction to the original calibration data obtained in climatic chamber

Double glazing window insulation

Outline

Piezoelectric sensor interrogation

Frequency-domain approach

Time-domain approach

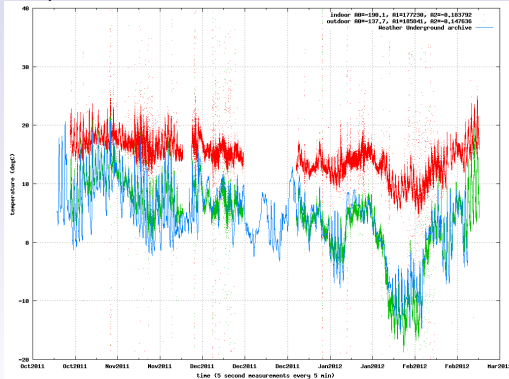
HBAR sensor

HBAR wireless interrogation

Conclusion

Appendix

- Two temperature sensors, one indoor and one outdoor (dipole),
- 5 second measurements every 5 minutes,
- Bluetooth link between interrogation unit and laptop connected to the internet (<http://sequanux.org/jmfriedt/t/resultat.html>)



Reference curve: <http://www.wunderground.com/weatherstation/WXDailyHistory.asp?ID=IFRANCHE6>, 12 km away

Application to civil engineering structure health monitoring

Outline

Piezoelectric
sensor
interrogationFrequency-
domain
approachTime-domain
approach

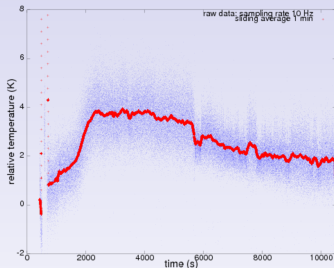
HBAR sensor

HBAR wireless
interrogation

Conclusion

Appendix

Sensor buried $\simeq 3$ cm deep in wet concrete (accelerated hardening)



- Objective: assess concrete curing condition.
- Passive sensor \Rightarrow no battery leakage, *but* requires antenna (beware of reinforced concrete)
- Applicable to stress sensor

Application to civil engineering structure health monitoring

Outline

Piezoelectric sensor interrogation

Frequency-domain approach

Time-domain approach

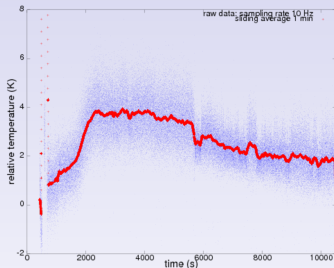
HBAR sensor

HBAR wireless interrogation

Conclusion

Appendix

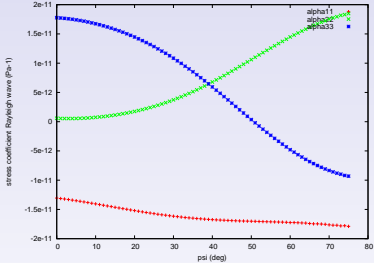
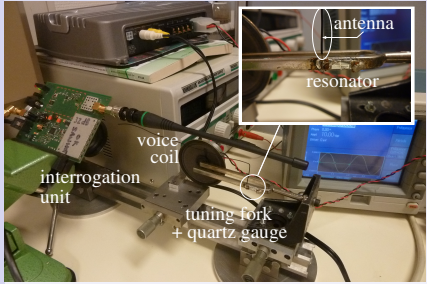
Sensor buried $\simeq 3$ cm deep in wet concrete (accelerated hardening)



- Objective: assess concrete curing condition.
- Passive sensor \Rightarrow no battery leakage, *but* requires antenna (beware of reinforced concrete)
- Applicable to stress sensor

Detected quantities

- **Temperature**: easiest (sensor mechanically isolated from environment),
- **stress** – no identifiable dependence of strain coefficient wrt temperature⁶ \Rightarrow **pressure** (strain gauge on a membrane)

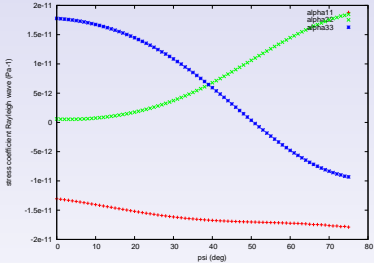
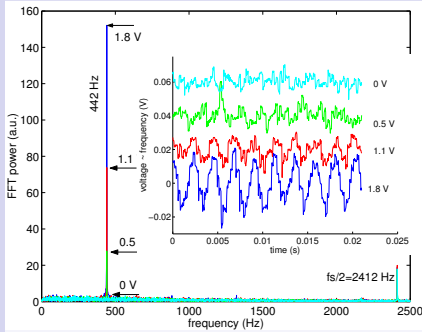


- Resulting sensitivity: $\Delta f/f \in [-20.. +20]$ ppm/MPa, max stress (quartz) <250 MPa, i.e. $\Delta f \leq 2$ MHz at 434 MHz
- Challenge: linking stiff strain gauge (quartz) to stiff substrate (steel)

⁶S. Alzuaga, É. Michoulier, J. Masson, J.-M Friedt, G. Martin, P. Berthelot, V. Pétrini & S. Ballandras, *Characterization of the thermal dependance of SAW stress sensitivity*, CFA 2010, available at <http://cfa.sfa.asso.fr/cd1/data/articles/000218.pdf>

Detected quantities

- **Temperature**: easiest (sensor mechanically isolated from environment),
- **stress** – no identifiable dependence of strain coefficient wrt temperature⁶ \Rightarrow **pressure** (strain gauge on a membrane)



- Resulting sensitivity: $\Delta f/f \in [-20.. + 20]$ ppm/MPa, max stress (quartz) <250 MPa, i.e. $\Delta f \leq 2$ MHz at 434 MHz
- Interrogation strategy for probing the sensor at 4.8 kHz

⁶S. Alzuaga, É. Michoulier, J. Masson, J.-M Friedt, G. Martin, P. Berthelot, V. Pétrini & S. Ballandras, *Characterization of the thermal dependance of SAW stress sensitivity*, CFA 2010, available at <http://cfa.sfa.asso.fr/cd1/data/articles/000218.pdf>

Time-domain approach

Outline

Piezoelectric
sensor
interrogation

Frequency-
domain
approach

Time-domain
approach

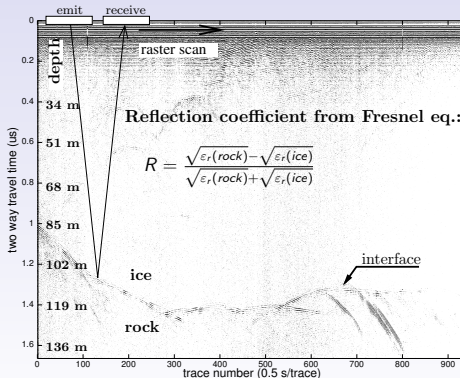
HBAR sensor

HBAR wireless
interrogation

Conclusion

Appendix

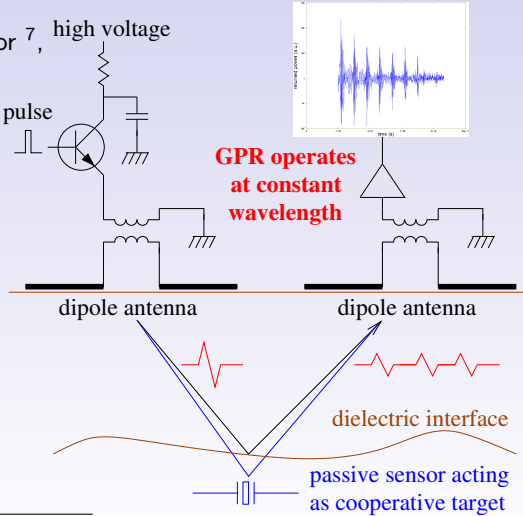
- Fancy interrogation strategies exist, but the most simple approach aims at recording the impulse response
- Probe delay line sensor with a single RF pulse, and time difference between returned pulses is a function of measurement quantity
- High losses in this simple approach, but *compatible with existing equipment*
- Acoustic delay line provide measurement capability to Ground Penetrating RADAR (GPR) classically used for structure health monitoring and road condition assesment



Most simple use: identification. But efficient signal processing for retrieving physical quantity.

Wireless measurement (time)

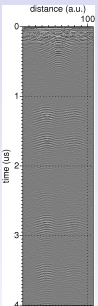
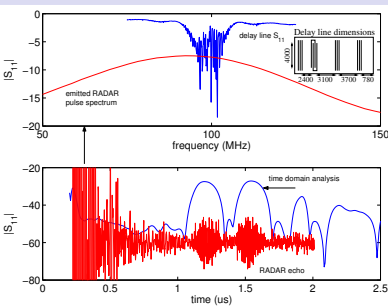
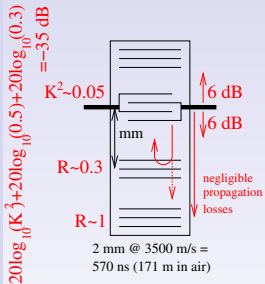
- Wideband RF pulse illuminates dielectric interfaces & the sensor⁷, high voltage
- bistatic configuration,
- baseband measurement \Rightarrow amplitude and phase informations,
- demonstration using unmodified commercial Ground Penetrating RADAR (GPR) :
Malå RAMAC



⁷ J.-M. Friedt, T. Rétornaz, S. Alzuaga, T. Baron, G. Martin, T. Laroche, S. Ballandras, M. Griselin & J.-P. Simonnet, *Surface acoustic wave devices as passive buried sensors*, J. Appl. Phys. **109** (3), 2011, 034905

Measurement example: delay line probed with GPR

Method: record on a long enough duration to observe all reflected pulses from delay line (typically $\leq 5 \mu\text{s}$, i.e. 425 m-deep reflectors in ice)



100 MHz

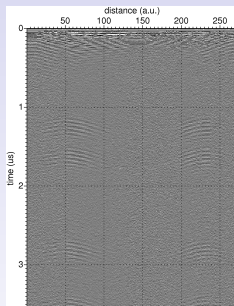
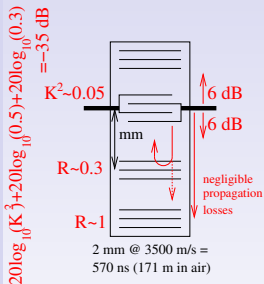
200 MHz

Post-processing: the hyperbola curvature of the acoustic sensor is inconsistent with the assumed depth of a dielectric reflector \Rightarrow easy identification of the acoustic response after migration

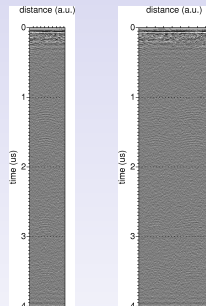
Curvature of the hyperbola \leftrightarrow depth of the sensor.

Measurement example: delay line probed with GPR

Method: record on a long enough duration to observe all reflected pulses from delay line (typically $\leq 5 \mu s$, *i.e.* 425 m-deep reflectors in ice)



100 MHz



200 MHz

Post-processing: the hyperbola curvature of the acoustic sensor is inconsistent with the assumed depth of a dielectric reflector \Rightarrow easy identification of the acoustic response after migration

Curvature of the hyperbola \leftrightarrow depth of the sensor.

Measurement example: delay line probed with GPR

Outline

Piezoelectric sensor
interrogation

Frequency-domain
approach

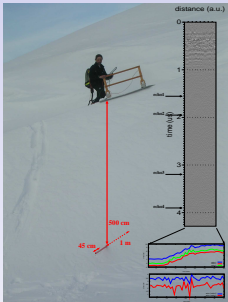
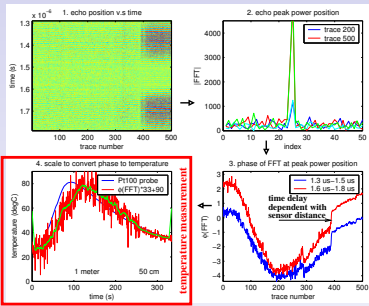
Time-domain
approach

HBAR sensor

HBAR wireless
interrogation

Conclusion

Appendix



- Distance between reflected pulses provides the physical quantity.
- Phase of the Fourier transform for accurate delay estimate (rather than magnitude of the time domain signal). Alternative: cross correlation.
- Only data post-processing, no modification on the original hardware.
- But piezoelectric conversion efficiency (K^2) \Rightarrow losses: typical insertion losses in the 35 dB range. Hence the interrogation range estimate:

$$d_{SAW} = d_{ice-rock} \times 10^{(IL_{ice-rock} - IL_{SAW})/40}$$

High-overtone Bulk Acoustic Resonator (HBAR)

Outline

Piezoelectric sensor interrogation

Frequency-domain approach

Time-domain approach

HBAR sensor

HBAR wireless interrogation

Conclusion

Appendix

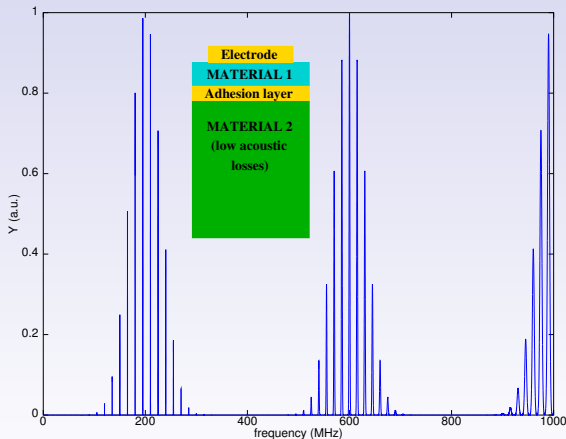
- Latest research activity: a single sensor compatible with multiple GPR operating frequencies,
- mix between delay line and frequency domain approach (frequency comb is also a time-domain pulse comb),
- if neighbouring responses exhibit different sensitivity to measured quantity: differential sensor.
- thin single-crystal piezoelectric layer ⁸
- wafer scale assembly, ⁹

⁸T. Baron, D. Gachon, G. Martin, S. Alzuaga, D. Hermelin, J.-P. Romand, S. Ballandras, *Temperature Compensated Radio-Frequency Harmonic Bulk Acoustic Resonators*, Proceedings of IEEE International Frequency Control Symposium (2010)

⁹T. Baron, D. Gachon, J.-P. Romand, S. Alzuaga, S. Ballandras, J. Masson, L. Catherinot, M. Chatras, *A Pressure Sensor Based on a HBAR Micromachined Structure*, Proceedings of IEEE International Frequency Control Symposium (2010)

High-overtone Bulk Acoustic Resonator (HBAR)

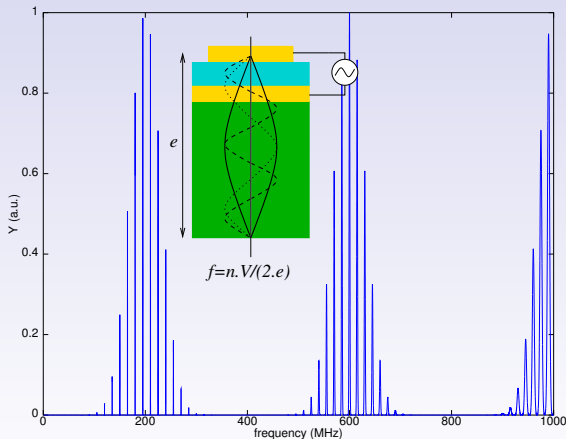
Coupled resonators between the thin piezoelectric film and low acoustic loss (thick) substrate



Stack of single-crystal materials \Rightarrow accurate simulation of thermal behaviour (expansion coefficients)

High-overtone Bulk Acoustic Resonator (HBAR)

Coupled resonators between the thin piezoelectric film and low acoustic loss (thick) substrate



Stack of single-crystal materials \Rightarrow accurate simulation of thermal behaviour (expansion coefficients)

Interrogation strategies

Outline

Piezoelectric
sensor
interrogation

Frequency-
domain
approach

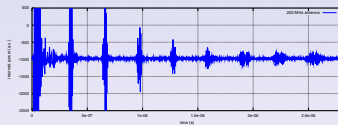
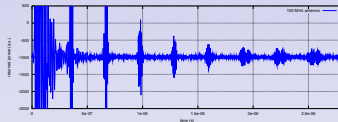
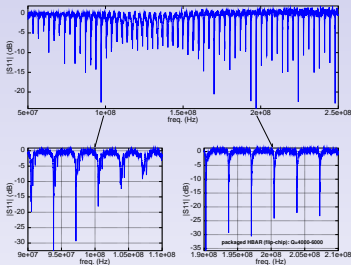
Time-domain
approach

HBAR sensor

HBAR wireless
interrogation

Conclusion

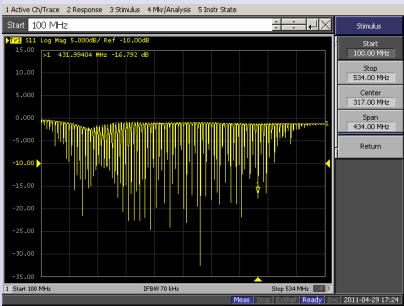
Appendix



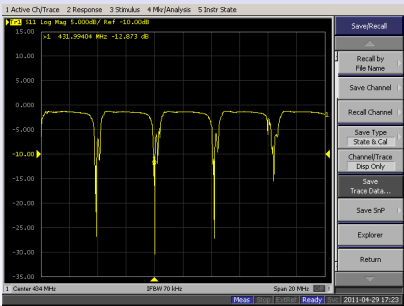
- Closed loop (oscillator) is challenging due to the multimode response
- Open-loop interrogation strategy suitable for this multimode transducer
- Fourier transform of a frequency comb is a series of time-domain pulses \Rightarrow can be used either as a narrowband transducer (frequency domain) or a wideband, multimode device (time domain)

Wireless measurement (frequency)

Experimental setup: SENSEOR interrogation unit programmed to probe 432-434 MHz range (128 frequency steps), 16 averages (\approx 120 ms/measurement)



wideband



narrowband

Wireless measurement (frequency)

Outline

Piezoelectric
sensor
interrogation

Frequency-
domain
approach

Time-domain
approach

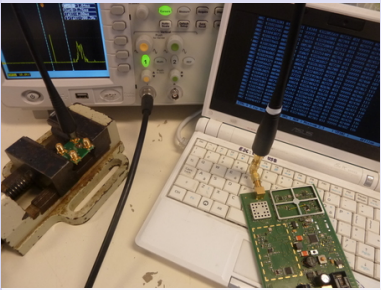
HBAR sensor

HBAR wireless
interrogation

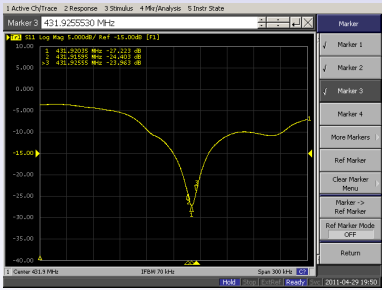
Conclusion

Appendix

Experimental setup: SENSEOR interrogation unit programmed to probe 432-434 MHz range (128 frequency steps), 16 averages (\approx 120 ms/measurement)



Experimental setup



selected resonance
at 431.92495 MHz

Wireless measurement example

Outline

Piezoelectric
sensor
interrogation

Frequency-
domain
approach

Time-domain
approach

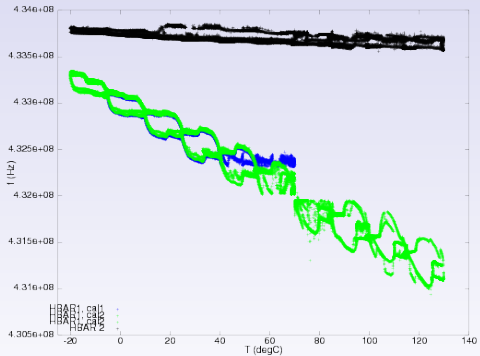
HBAR sensor

HBAR wireless
interrogation

Conclusion

Appendix

Measurement example: one resonance frequency as a function of temperature, for one temperature compensated HBAR (black) and one temperature sensitive HBAR (sensor: green & blue): wireless, $f \in [431 - 343]$ MHz.



⇒ differential frequency measurement strategy available (reduces sensor and local oscillator aging drift + correlated noise sources)

Wireless measurement (time)

Outline

Piezoelectric sensor interrogation

Frequency-domain approach

Time-domain approach

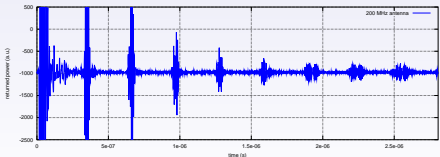
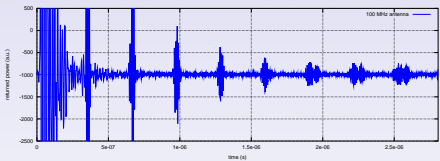
HBAR sensor

HBAR wireless interrogation

Conclusion

Appendix

- Resonance over a wide frequency range \Rightarrow the **same HBAR** is compatible with **multiple RADAR frequencies**¹⁰ (in Ground Penetrating RADAR: defined by antenna dimensions)
- here all reflections are from the same acoustic mode: a sensor will require different modes exhibiting different sensitivities with respect to the physical quantity under investigation



Left: the same HBAR probed with a Malå RAMAC GPR equipped with 100 (top) and 200 MHz (bottom) antennas

¹⁰ T. Rétornaz, J.-M. Friedt, S. Alzuaga, T. Baron, É. Lebrasseur, G. Martin, T. Laroche, S. Ballandras, M. Griselin, J.-P. Simonnet, *Piezoelectric radiofrequency transducers as passive buried sensors*, *Nondestructive Testing and Evaluation* (accepted 2012)

Conclusion

Outline

Piezoelectric
sensor
interrogationFrequency-
domain
approachTime-domain
approach

HBAR sensor

HBAR wireless
interrogation

Conclusion

Appendix

- demonstrated solution for buried/isolated/mobile sensors interrogated through a wireless link, no local energy source
- robust solution (temperature > 160°C), linear interrogation process
⇒ extended interrogation range, up to 12 m using directive antenna,
- frequency based (narrowband) and time based (wideband) interrogation strategies,
- use of commercially available Ground Penetrating RADAR equipment, requiring only post-processing (no hw modification),
- ability to select materials and cuts in order to achieve temperature turnover within the measurement range (reference device) or high temperature drift (sensor),
- tiny dimensions (<1 mm × 1 mm) for the sensor, **BUT** huge antenna ⇒ how to extend a horizontal dipole buried in ice ?
- single crystal materials ⇒ efficient modelling,
- commercially available solution for immediate use.
- in all experiments, the sink (PC + network) is the weak link ⇒ complement data transmission with *non-volatile mass storage*

Perspectives

Outline

Piezoelectric
sensor
interrogationFrequency-
domain
approachTime-domain
approach

HBAR sensor

HBAR wireless
interrogation

Conclusion

Appendix

Work in progress aimed at getting rid of the dedicated interrogation electronics:

- use commercially available RF transceivers (UHF/SHF radiomodem with analog outputs) to probe SAW sensors
- find ways of using UHF SAW sensors ($f \leq 2.4$ GHz) with widely available microwave RADARs (frequency conversion)
- merge SAW sensor measurement capability and WSN functionalities (porting interrogator embedded software to TinyOS to take advantage of its MAC layer)

⇒ no/minor hardware modification to existing RADARs + software processing for using **acoustic sensors as cooperative targets**



Contact: {jmfriedt,ballandr}@femto-st.fr

HBAR manufacturing flowchart

Outline

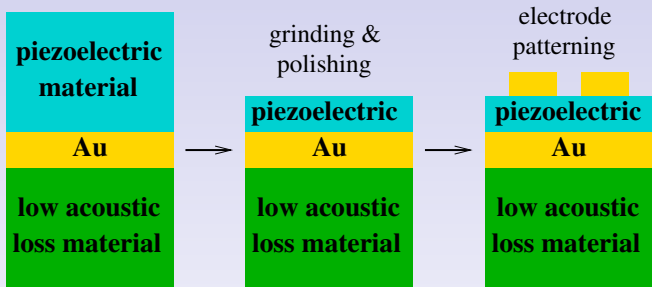
Piezoelectric
sensor
interrogationFrequency-
domain
approachTime-domain
approach

HBAR sensor

HBAR wireless
interrogation

Conclusion

Appendix



- ① 4" wafer scale process
- ② room temperature gold-eutectic bonding (high pressure)
- ③ if no access to buried electrode, coupled resonators
- ④ small resulting dimension ($<1\text{ mm} \times 1\text{ mm}$)
- ⑤ **bulk** electrode \Rightarrow reduced aging and influence of environment (w.r.t IDTs)

Generating acoustic waves

Outline

Piezoelectric
sensor
interrogation

Frequency-
domain
approach

Time-domain
approach

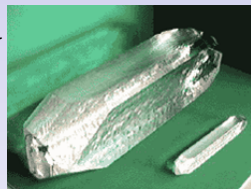
HBAR sensor

HBAR wireless
interrogation

Conclusion

Appendix

- Acoustic waves in solids: mechanical, thermal expansion, piezoelectric generation
- An RF voltage applied to an interdigitated transducer generates an acoustic wave¹¹
- Surface, bulk waves, shear/longitudinal/Rayleigh/guided (Love mode)
- Delay line (single path) or resonator (reflectors define a cavity)



material	wave	(m/s)	TCF	comment
$LiNbO_3$	shear	4700	-90 ppm/K	ferro. & pyroelectric, $T_C > 1200^\circ C$ $525 < T_C < 700^\circ C$ huge coupling, $T_C \simeq 430^\circ C$
$LiTaO_3$	shear	4100	-36 ppm/K	
$KNbO_3$	Rayleigh shear	2800 3500	< 1 ppm/K ?	
LiB_4O_7	Rayleigh	3500	-300 ppb/K ²	water soluble
langasite	Rayleigh	2900	-70 ppb/K ²	no Curie temperature, $> 1000^\circ C$
Quartz	Rayleigh	3150	-40 ppb/K ²	most used
	shear	5100	-60 ppb/K ²	less coupled

¹¹ R.M. White & F.W. Voltmer, *Direct piezoelectric coupling to surface acoustic waves*, Appl. Phys. Letters 7 (12), 1965, 314-316