

# 1 Vibration amplitude of a tip-loaded quartz tuning fork during shear force 2 microscopy scanning

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8 This Note reports on experimental results obtained with a recently published vision method for  
9 in-plane vibration measurement [Sandoz *et al.*, *Rev. Sci. Instrum.* **78**, 023706 (2007)]. The latter is  
10 applied to a tip-loaded quartz tuning fork frequently used in scanning probe microscopy for  
11 shear-force monitoring of the tip-sample distance. The vibration amplitude of the tip-loaded prong  
12 is compared to that of the free one and the damping induced by tip-surface interactions is measured.  
13 The tuning-fork behavior is characterized during approaches from free space to surface contact.  
14 Tip-surface contact is clearly identified by a drastic reduction in the prong vibration amplitude.  
15 However, no differences were observed between hydrophilic and hydrophobic surfaces.  
16 Experiments reported here show that the vibration amplitude of the quartz tuning fork in free space  
17 is a good estimate of the vibration amplitude of the tip interacting with the sample surface during  
18 shear force sample-tip feedback. The experimental setup for measuring the amplitude is easily  
19 integrated in an inverted microscope setup on which the shear force microscope is installed for  
20 simultaneous scanning probe and optical microscopy analysis of the sample. © 2008 American  
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22 Shear force microscopy (SFM) is the only scanning  
23 probe microscopy technique in which the tip-sample distance  
24 is controlled independently of the physical quantity mapped  
25 by the probe. However, the vibration of the tip induces spa-  
26 tial averaging and the lateral resolution is no longer solely  
27 limited by the probe tip radius but also by the tip vibration  
28 amplitude. Among the various methods presented previously  
29 for detecting the tuning-fork motion over the surface and  
30 measuring tip-sample interactions, quantitative analysis was  
31 performed on piezoelectric excitation of the vibration  
32 through a tuning fork using a voltage to vibration amplitude  
33 constant,<sup>1,2</sup> interferometric methods,<sup>3</sup> capacitive  
34 measurement,<sup>4,5</sup> two or four quadrant-photodiode shadow  
35 measurement,<sup>6,7</sup> and injection of the reflected laser beam in  
36 the laser cavity.<sup>8</sup> None of these techniques provide full two-  
37 dimensional (2D) description of the tip motion, since they  
38 are always based on the projection of the motion field in a  
39 given direction.

40 We proposed recently a technique based on image pro-  
41 cessing for in-plane vibration amplitude measurement.<sup>9</sup> We  
42 implemented this method on a quartz tuning fork loaded with  
43 a sharp tungsten tip as represented in Fig. 1. The prong end  
44 faces were polished and a pattern of dots was etched by  
45 focused ion beam following a 2D periodical grid. The peri-  
46 odical grid forms complementary spatial frequencies in the  
47 spectral domain and any grid displacement is evaluated  
48 through the spectral phase shift induced. A subpixel reso-  
49 lution is thus obtained in the prong displacement measure-  
50 ment, in the range of  $10^{-2}$ – $10^{-3}$  pixel by using a standard  
51 charge couples device (CCD) camera. The usual  $2\pi$  phase

ambiguities associated with phase measurements are re- 52  
53 moved by choosing a finite number of periods in the dot  
54 pattern and by identifying the central dot by correlation. 54  
55 Digital dot-pattern position measurements are thus per-  
56 formed on image sequences recorded during tuning-fork vi- 56  
57 bration. In-plane displacements of the prong end face are  
58 retrieved, allowing vibration amplitude measurement. 58

The tip-loaded tuning fork forms a high- $Q$  device ( $Q$  59  
60  $\sim 1600$ ) that we used as shear force probe in a scanning  
61 microscope by detecting the vibration damping due to tip-  
62 surface interactions.<sup>1,10</sup> The experimental setup is depicted in  
63 Fig. 2. The tip-loaded tuning fork is approached coarsely  
64 from the inspected sample by means of a Z-axis piezoelectric  
65 transducer (PZT). The sample is mounted on a three-axis  
66 closed loop PZT (PI P517) fixed on the stage of an inverted  
67 optical microscope. The latter is illuminated by means of a  
68 strobed light emitting diode (LED). The stroboscopic illumi-  
69 nation is required since the tuning-fork frequency  
70 ( $\sim 33$  kHz) is outside the bandwidth of the video camera  
71 (25 frames/s). In practice the illumination frequency is  
72 shifted by 2 Hz with respect to the tuning-fork dither fre-  
73 quency in order to explore the prong position excursion with  
74 an apparent frequency of 2 Hz, i.e., compatible with the  
75 video rate of the CCD camera. Since we choose a transparent  
76 glass slide as inspected sample, images can be recorded from  
77 either the sample surface or the shear force probe end face  
78 simply by shifting the focus position along the Z direction. 78

A dual frequency synthesizer (Tektronix AFG320) deliv- 79  
80 ers the driving signals for both the tuning fork and the LED.  
81 A lock-in amplifier provides the magnitude of the current  
82 output of the tuning fork as well as its phase with respect to  
83 the excitation sinewave. The lock-in outputs allow the detec-

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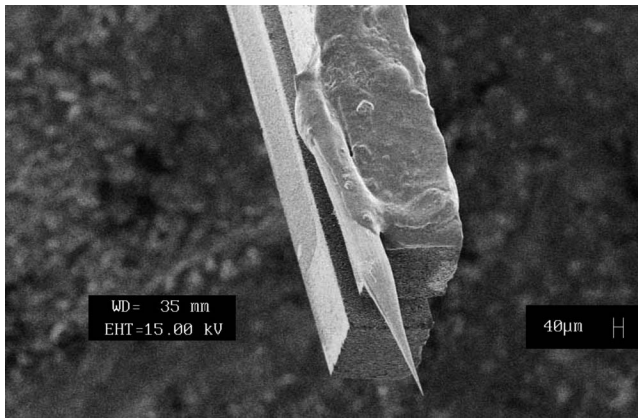


FIG. 1. Scanning electron microscopy image of the tip-loaded tuning fork.

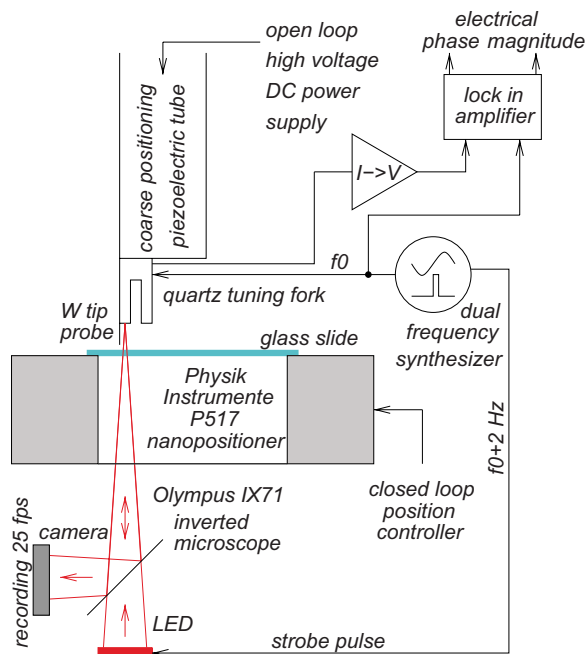


FIG. 2. (Color online) Experimental setup.

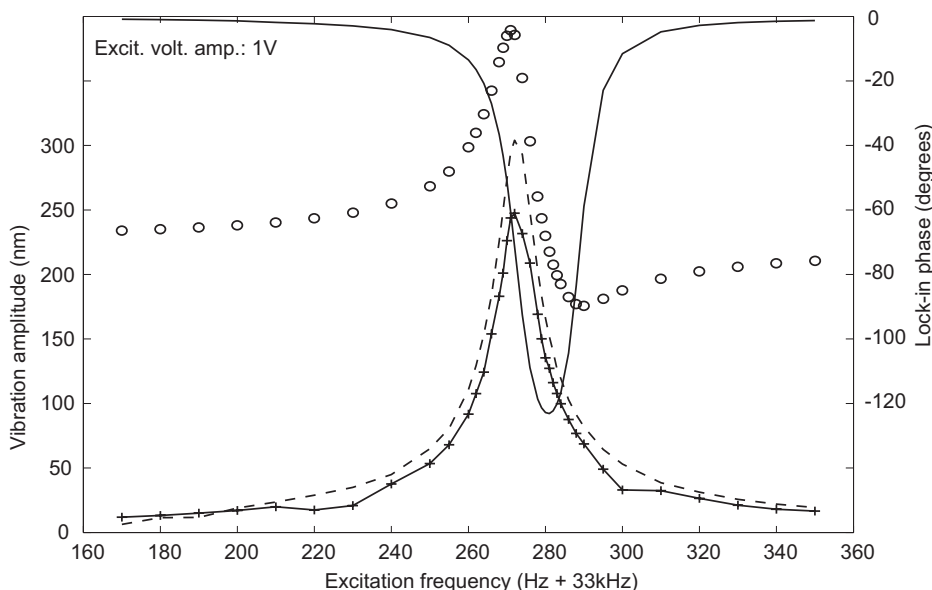


FIG. 3. Vibration and electrical characterization of a tip-loaded tuning fork around resonance. Dotted line: free prong vibration amplitude; solid line plus crosses: tip-loaded prong vibration amplitude; solid: lock-in phase (deg); circle: lock-in magnitude.

tion of the tuning-fork resonances as well as the determination of suitable setting points for shear force experiments. These outputs are also fed into a computer used for the servocontrolling of the  $(x, y, z)$  position of the three-axis PZT with nanometer resolution in order to perform lateral scanning at constant tip-sample distance.

Figure 3 shows the resonance curves obtained for the tip-loaded and unloaded prongs. As could be expected, a smaller vibration amplitude is observed for the tip-loaded one, about 85% of the free one's. The figure presents also the magnitude of the lock-in signal as well as the phase difference between the excitation signal and the current due to direct piezoelectric effect in the tuning fork. The latter signal is of practical interest since it exhibits an extremum that can be convenient for the servocontrol of the tip position.

Figure 4 presents the calibration curve of the prong vibration amplitude versus the excitation voltage. We observe a linear behavior and a constant ratio between the loaded and unloaded prongs. This means that such calibration allows the deduction in the vibration amplitude of the tip-loaded prong from the unloaded one's. Practically, this allows the observation of the unloaded prong a few millimeters aside from the shear force interaction.

Figure 5 presents the tip-loaded prong vibration amplitude observed while the shear force probe is approached to the surface until contact (approximately image 280) and then retracted (approximately image 540). The vibration damping is clearly observed, about 50% in the case of the figure. We observed that the damping level depends on the experimental conditions. Our interpretation is that because of the tip contact with the surface, the prong behaves as a double encastred beam. Then the vibration amplitude at the prong end level depends on the length and stiffness of the tip glued to the prong. Indeed we observed a damping level increase after a large number of surface approaches. Our interpretation is that tip-end damaging due to successive approaches is responsible for a harder contact with the surface.

Near field microscopy has been widely used for investigating the sensitivity of tip-substrate interactions with respect to the chemical properties of both the tip and sample surfaces.<sup>11</sup> Attraction and friction forces between the tip and

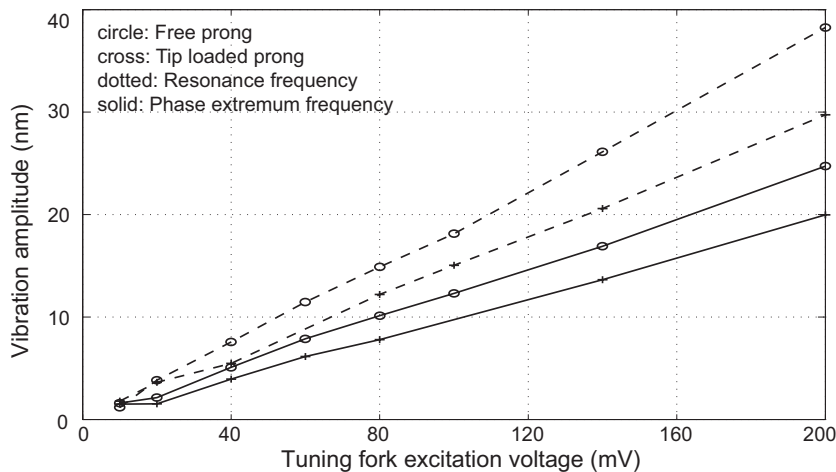


FIG. 4. Calibration of the vibration amplitude of the tip-loaded and unloaded prongs versus excitation voltage for two servocontrol configurations.

125 sample can be mapped at the nanometer scale and allow the  
 126 local identification of surface properties.<sup>12–14</sup> For instance,  
 127 hydrophobic and hydrophilic surfaces were clearly distin-  
 128 guished by lateral force microscopy (LFM).<sup>15</sup> We checked if  
 129 our SFM was capable of such surface property discrimina-  
 130 tion. For that purpose, the surface approach-retraction ex-  
 131 periment described above was carried out successively on  
 132 glass plates with and without hydrophobic surface silaniza-  
 133 tion. We did not notice any difference between the two sur-  
 134 face types, neither in the damping level nor in the transitory  
 135 regime between far field and near field. We alternated several  
 136 times hydrophilic and hydrophobic plates in order to exclude  
 137 any tip damage disturbance. One may point out that cantile-  
 138 vers used in LFM exhibit a smaller force constant [about  
 139 0.1–100 N/m (Ref. 15)] than tuning forks [tens of kN/m  
 140 (Ref. 16)], which makes them much more sensitive to the  
 141 magnitude of the surface-probe interaction forces. This dif-  
 142 ference is a sufficient reason for not discriminating the  
 143 hydrophobic/hydrophilic surface types in our case.

144 Finally the prong vibration amplitude was measured dur-  
 145 ing SEM scanning of a grating with a period of 280 nm. The  
 146 tip distance was maintained by servocontrolling the phase  
 147 difference between the tuning-fork excitation signal and the  
 148 current induced by the direct piezoelectric effect. Figure 6  
 149 presents the results obtained in a case where the servocontrol  
 150 fails in some points, as described by the phase variation dia-  
 151 gram. In normal conditions, i.e., when the phase keeps close  
 152 to the setpoint value of  $-150^\circ$ , the prong vibration is as large  
 153 as in free space. This indicates that tip-surface contact is

154 avoided, as deduced from Fig. 5. However, for positions  
 155 where the servocontrol fails to maintain the phase difference,  
 156 the vibration amplitude is damped, indicating tip-surface  
 157 contact. An excellent agreement can be noticed between both  
 158 diagrams. However, the surface damage observed in the grat-  
 159 ing profile around pixel 55th does not produce a vibration  
 160 damping nor a drastic phase variation. Then a tip-surface  
 161 contact can be excluded in this zone.

162 Results presented in this Note were obtained with a  
 163 homemade SFM that is not optimized at this stage. Image  
 164 processing was performed on images of a dot pattern carved  
 165 on each prong end with period of  $3 \mu\text{m}$ . The latter is ob-  
 166 served with a  $20\times$  objective (numerical aperture of 0.5) and  
 167 a standard CCD camera. Subnanometer amplitudes can be  
 168 addressed with this approach in optimized conditions, i.e.,  
 169 highly stable device and environment, use of a scientific  
 170 grade camera, with a high magnification ( $40\times$ ) objective  
 171 with a wider numeric aperture, and a dot-pattern period close  
 172 to the diffraction limit ( $<2 \mu\text{m}$ ). This method is restricted to  
 173 the observation of (at least partially) transparent samples but  
 174 provides a quite simple and unique way of following the  
 175 tuning-fork vibration amplitude during SFM scanning. Im-  
 176 ages sequences were processed *a posteriori* using MATLAB  
 177 routines but the algorithms are compatible with real-time  
 178 implementation.

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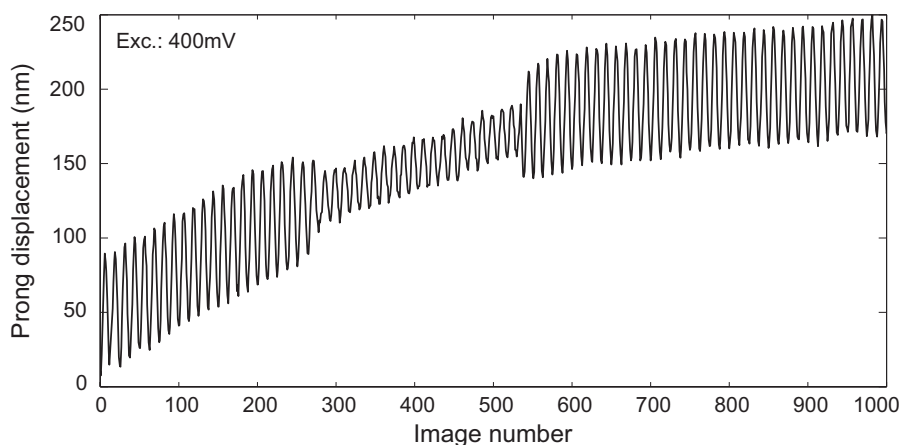


FIG. 5. Modulation of the vibration amplitude of the tip-loaded prong during a tip-surface approach and backward.

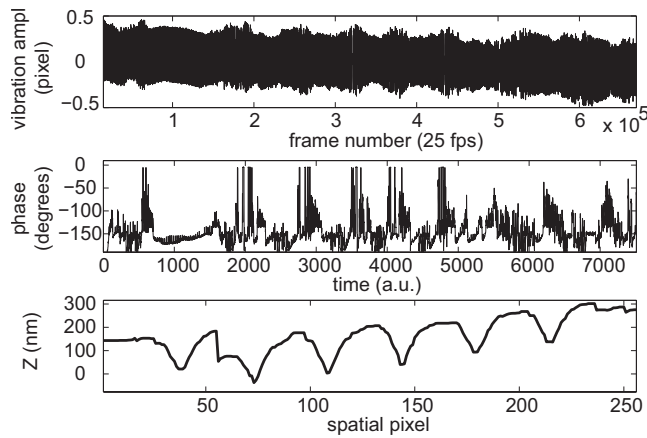


FIG. 6. Vibration control during SFM scanning of a surface grating; up: prong vibration amplitude; middle: phase variation between tuning-fork excitation voltage and induced current; down: reconstructed surface profile.

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