



## Influence of electromagnetic interferences on the mass sensitivity of Love mode surface acoustic wave sensors

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### Abstract

Surface acoustic waveguides have found an application for (bio)chemical detection. The mass modification due to surface adsorption leads to measurable changes in the propagation properties of the waveguide. Among a wide variety of waveguides, the Love mode device has been investigated because of its high mass sensitivity. The acoustic signal launched and detected in the waveguide by electrical transducers is accompanied by an electromagnetic wave; the interaction of the two signals, easily enhanced by the open structure of the sensor, creates interference patterns in the transfer function of the sensor. The interference peaks are used to determine the sensitivity of the acoustic device. We show that electromagnetic interferences generate a distortion in the experimental value of the sensitivity. This distortion is not identical for the two classical instrumentation of the sensor that are the open and the closed loop configurations. Our theoretical approach is completed by the experimentation of an actual Love mode sensor operated under liquid conditions and in an open loop configuration. The experiment indicates that the interaction depends on frequency and mass modifications.

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### 1. Introduction

Acoustic waves guided by the surface of solid structures form waveguides used as delay lines and filters in telecommunications [1]. Waveguides support different modes with specific strain and stress fields [2]. The acoustic velocity of each mode depends on different intrinsic and extrinsic parameters such as the mechanical properties of the materials, the temperature or the applied pressure. Waveguides are used as sensors when the velocity change is linked to environmental changes. For gravimetric sensors, the outer surface of the waveguide is exposed to mass changes. Due to the confinement of the acoustic wave energy close to the surface, these sensors are well suited for (bio)chemical sensors operating in gas or liquid media. Among a wide variety of waveguides

used for that purpose, Love mode sensors have attracted an increasing interest during the last decade [3,4]. A Love mode is guided by a solid overlayer deposited on top of a substrate material. The usual substrates are piezoelectric materials like quartz, lithium tantalate and lithium niobate [5]. Associated to specific crystal cut of these substrates, the Love mode presents a shear-horizontal polarization that makes it suitable for sensing in liquid media.

Current research in Love mode sensors concerns the guiding materials in order to optimize the sensitivity, that is the variation of the acoustic signal under surface modifications. Typical materials under investigations are dielectrics like silicon dioxide and polymers, and more recently semiconductors with piezoelectric properties like zinc oxide [6–8]. Although the dispersion relation for Love mode is well set and the dependence of the sensitivity of the liquid loaded sensor to the overlayer thickness has been thoroughly investigated [9–11], little has been devoted to study the role played by the structure of the sensor and their transducers.

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In this paper, we investigate the role played by the structure of the sensor and by the interferences between the acoustic and the electromagnetic waves on the sensitivity. In the first part, we present a general model of the transfer function including the influence of electromagnetic interferences. In the second part, we show how these interferences modify the sensitivity in open and closed loop configurations of the sensor. Finally, these effects are illustrated experimentally on a Love mode sensor.

## 2. Modeling

Waveguide sensors consist of a transducing part and a sensing part. The transducing part includes the generation and the reception of acoustic signals and their interfacing to an electrical instrumentation. The most common transducers are the widespread interdigital transducers (IDTs) on piezoelectric substrates introduced by White and Voltmer in 1965 [12]. Although the transducing part can be involved in the sensing part, practical sensing is confined to the spacing between the transducers. This confinement takes especially place when liquids are involved since these produce large and unwanted capacitive coupling between input and output electrical transducers. This coupling dramatically deteriorates the transfer function and is an important issue for the instrumentation and the packaging of the sensors.

The sensor itself is configured as a delay line formed by two transducers separated by a certain distance. The sensor can also be configured as a resonator but we will restrict our approach to the delay line configuration because the operation principle in these two configurations is not similar. The Love mode sensor is sketched in Fig. 1. Transducers with a constant apodization are identified to their midpoint; the distance between the midpoints is  $L$  and the interdigitated electrodes have a periodicity  $\lambda_T$ . The sensing part is located between the transducers and covers a total length  $D$  so that  $D \leq L$ . The guided mode propagates with a phase velocity  $V = \omega/k$ , where  $\omega = 2\pi f$  is the angular frequency and  $k = 2\pi/\lambda$  is the wavenumber. The waveguide is dispersive when the group velocity ( $V_g = d\omega/dk$ ) differs from the phase velocity.

The velocity is a function of the frequency and of the surface density  $\sigma = M/A$  for a rigidly bound and non viscous mass  $M$  per surface area  $A$ . For an uniformly distributed mass, the surface density is rewritten in terms of material density  $\rho$  and thickness  $d$  by  $\sigma = \rho d$ . The phase velocity for an initial and constant mass  $\sigma_0$  is denoted  $V_0$ , and the group velocity  $V_{g0}$ . In the sensing part, the phase velocity is  $V$  and the group velocity  $V_g$ . According to this model, the transit time  $\tau$  on the delay line is given by

$$\tau = \frac{D}{V} + \frac{L-D}{V_0}. \quad (1)$$

Electromagnetic interferences are due to the cross-talk between the IDTs [13]. The electromagnetic wave (EM) emitted by the input transducer travels much faster than the acoustic

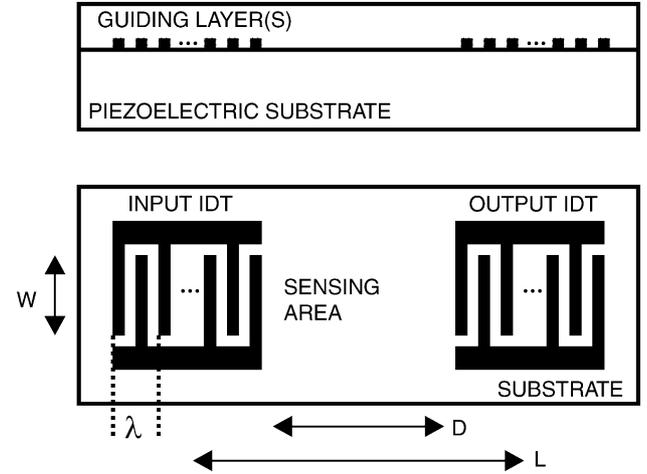


Fig. 1. Structure of the acoustic device.

wave and therefore is detected at the output transducer without noticeable delay. At the output transducer, the two kinds of waves interact with an amplitude ratio, denoted by  $\alpha$ , that creates interference patterns in the transfer function  $H(\omega)$  of the delay line. The transfer function itself is given by the ratio of the output to the input voltages. The transfer function with electromagnetic interferences is modeled by the following equation:

$$H(\omega) = \underbrace{H_T(\omega) \exp(-i\omega\tau)}_{\text{delay line}} + \underbrace{\alpha H_T(\omega)}_{\text{EM coupling}}. \quad (2)$$

The transfer function  $H_T(\omega)$  is associated to the design of the transducers. The total transfer function can be rewritten as  $H(\omega) = \|H(\omega)\| \exp(i\phi)$  where expressions for the amplitude  $\|H(\omega)\|$  and the phase  $\phi$  are obtained with help of complex algebra:

$$\|H(\omega)\| = \|H_T(\omega)\| \sqrt{1 + 2\alpha \cos(\omega\tau) + \alpha^2}; \quad (3)$$

$$\phi = \phi_0 - \arctan\left(\frac{\sin(\omega\tau)}{\alpha + \cos(\omega\tau)}\right). \quad (4)$$

The phase  $\phi_0$  corresponds to the packaging of the sensor and is due to different aspects linked to the instrumentation. It will be assumed independent of the frequency and of the sensing event. The synchronous frequency  $\omega_T = 2\pi f_T$  is determined by the design of the IDTs and is generally equal to the maximum amplitude of  $\|H_T(\omega)\|$  when the wavelength of the acoustic wave  $\lambda_0$  matches the transducers periodicity  $\lambda_T$ .

The relations (3) and (4) are the sources of ripples in the transfer function at the ripple frequency  $\Delta\omega \simeq 2\pi/\tau$ , its exact expression depends also of the dispersion on the line. Interference peaks corresponding to the maximum effect are observed at quantified frequencies  $f_n$  when  $\cos(2\pi f_n \tau) = -1$ , that is for frequencies such that

$$f_n = \frac{2n+1}{2\tau} \quad (5)$$

137 where  $n \in \mathbb{N}$  is the interference mode number. A direct rela-  
 138 tion to the velocity in the sensing area is obtained from this  
 139 latter equation as seen by replacing the transit time  $\tau$  by its  
 140 definition:

$$141 \quad V = \frac{2DV_0f_n}{(2n + 1)V_0 + 2(D - L)f_n} \quad (6)$$

142 The interference mode numbers are determined by consid-  
 143 ering the uncovered delay line; in such case  $V = V_0$  and  
 144  $D = L$ , and  $n$  for the interference peak located below the  
 145 synchronous frequency (i.e. for  $f_n \leq f_T$ ) is given by

$$146 \quad n = \left\lfloor \frac{L}{\lambda_T} - \frac{1}{2} \right\rfloor \quad (7)$$

147 while the other peaks are labeled subsequently to their posi-  
 148 tion with respect to the peak referenced by Eq. (7).

149 The relative amplitude peak to peak of the perturbation  
 150 on the amplitude has a maximum effect (in dB) equals to  
 151  $40 \log[(1 + \alpha)/(1 - \alpha)]$ . The amplitude (in dB and normal-  
 152 ized to have  $\|H_T(\omega)\| = 1$ ) and the phase (in radians) as a  
 153 function of the frequency are simulated in Figs. 2–5 for dif-  
 154 ferent values of  $\alpha$ .

155 Under the influence of the interferences, the phase has  
 156 different behaviors function of  $\alpha$ :

- 157 (1) when  $\alpha = 0$  (no interferences), the phase is linear with  
 158 the frequency and has a periodicity equal to  $2\pi$  (Fig. 2);
- 159 (2) when  $\alpha < 1$ , the phase is deformed but has still a peri-  
 160 odicity equal to  $2\pi$  (Fig. 3);
- 161 (3) when  $\alpha = 1$ , the phase has a periodicity equal to  $\pi$   
 162 (Fig. 4);
- 163 (4) when  $\alpha > 1$ , the periodicity is lower than  $\pi$  (Fig. 5);
- 164 (5) when  $\alpha \rightarrow \infty$ , the phase is not periodic anymore and its  
 165 value tends to  $\phi_0$ .

166 This specific behavior of the phase under the influence of  
 167 the electromagnetic interferences has to be considered while  
 168 evaluating the sensitivity.

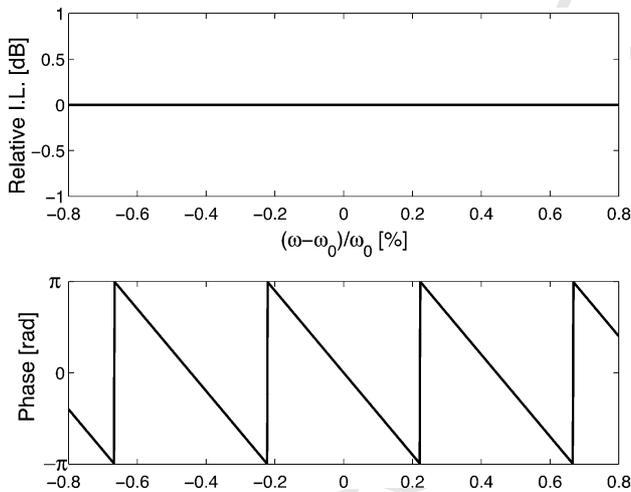


Fig. 2. Relative insertion loss (top) and phase (bottom) of the transfer function for  $\alpha = 0$ .

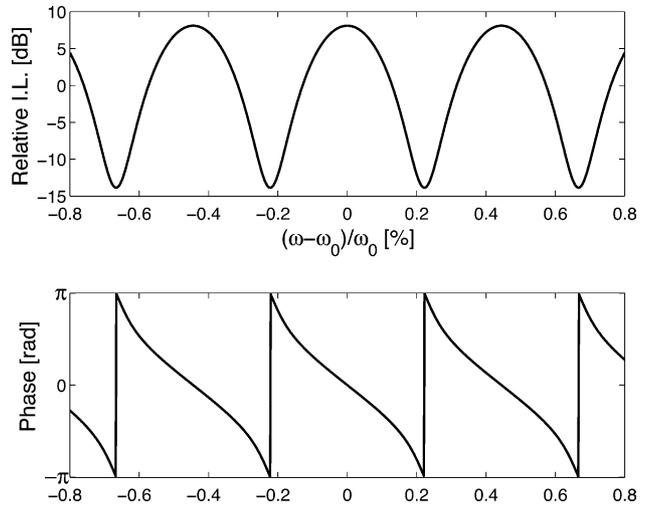


Fig. 3. Relative insertion loss (top) and phase (bottom) of the transfer function for  $\alpha = 1/2$ .

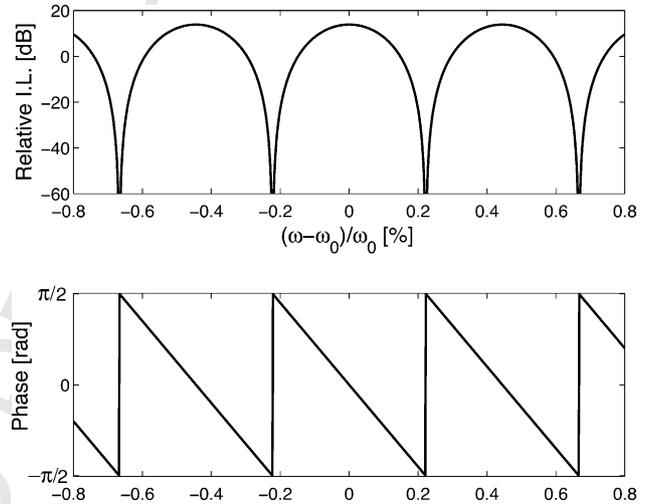


Fig. 4. Relative insertion loss (top) and phase (bottom) of the transfer function for  $\alpha = 1$ .

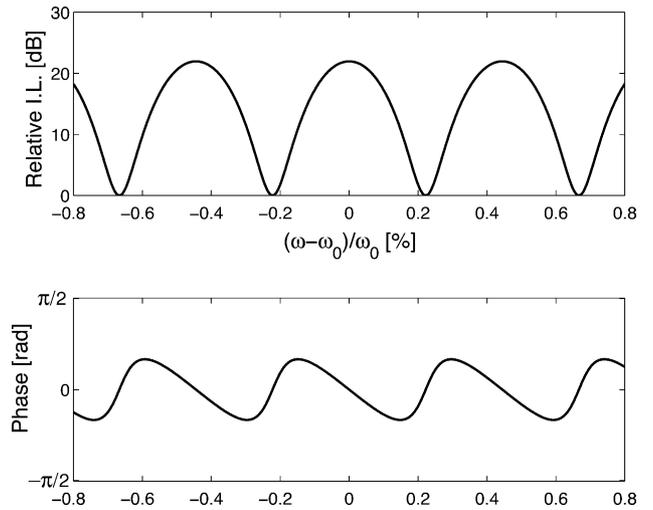


Fig. 5. Relative insertion loss (top) and phase (bottom) of the transfer function for  $\alpha = 2$ .

169 **3. Sensitivity**

170 Changes in the boundary condition of the waveguide due  
 171 to the sensing event modify phase and group velocities. As  
 172 consequence, the transit time of the delay line and the phase of  
 173 the transfer function are modified. The sensing event is quan-  
 174 tified by recording the phase shift at a fixed frequency (open  
 175 loop configuration) or the frequency shift at a fixed phase  
 176 (closed loop configuration). This quantification gives rise to  
 177 the concept of sensitivity. The sensitivity is not an unique  
 178 concept for acoustic sensors because various parameters in-  
 179 fluence the acoustic velocity. As example of such paramet-  
 180 ers, there is the density and the viscosity of liquid solutions  
 181 and adsorbed biomolecules film when the device is used as  
 182 biosensor. The sensitivity is the most important parameter in  
 183 design, calibration and applications of acoustic waveguide  
 184 sensors. Its measurement must be carefully addressed in order  
 185 to extract the intrinsic properties of the sensor.

186 *3.1. Definitions of the sensitivity*

187 The *velocity sensitivity*  $S_V$  is defined by the change of  
 188 phase velocity as a function of the surface density change at  
 189 a constant frequency. Its mathematical expression is given by  
 190 [10]:

$$191 S_V = \left. \frac{1}{V} \frac{\partial V}{\partial \sigma} \right|_{\omega}. \quad (8)$$

192 The definition reflects the velocity change in the sensing  
 193 area only while outside this area the velocity remains unmod-  
 194 ified. The expression is general because the initial velocity  
 195  $V$  of the sensing part does not need to be equal to  $V_0$ ; this  
 196 situation occurs in practical situations where the sensing part  
 197 has a selective coating with its own mechanical properties,  
 198 leading to an initial difference between  $V$  and  $V_0$ .

199 To link the sensitivity (caused by the unknown veloc-  
 200 ity shift) to the experimental values of phase and frequency  
 201 shifts, we introduce two additional definitions related to the  
 202 open and the close loop configurations, respectively. The  
 203 *phase sensitivity*  $S_\phi$  is defined by

$$204 S_\phi = \frac{1}{kD} \frac{d\phi}{d\sigma}, \quad (9)$$

205 and the *frequency sensitivity*  $S_\omega$  is defined by

$$206 S_\omega = \frac{1}{\omega} \frac{d\omega}{d\sigma}. \quad (10)$$

207 *3.2. Phase differentials without interferences*

208 In order to point clearly the effects of the electromagnetic  
 209 interferences on the different sensitivities presented in the  
 210 previous section, we calculate the phase differentials in the  
 211 ideal case of no interferences. For that case, the phase of  
 212 the transfer function is a function of the frequency and of  
 213 the velocities in the different parts of the sensor, themselves

function of the frequency and of the surface density: 214

$$520 \phi(\omega, V(\omega, \sigma), V_0(\omega)) = -\omega\tau \quad (11) \quad 215$$

$$520 \phi(\omega, V(\omega, \sigma), V_0(\omega)) = -\omega \left( \frac{D}{V} + \frac{L-D}{V_0} \right). \quad (12) \quad 216$$

Therefore, the total differential of the phase is 217

$$520 d\phi = \left( \left. \frac{\partial \phi}{\partial \omega} \right|_{V, V_0} + \left. \frac{\partial \phi}{\partial V} \right|_{\omega, V_0} \frac{\partial V}{\partial \omega} \Big|_{\sigma} + \left. \frac{\partial \phi}{\partial V_0} \right|_{\omega, V} \frac{dV_0}{d\omega} \right) d\omega \quad (13)$$

$$520 + \left. \frac{\partial \phi}{\partial V} \right|_{\omega, V_0} \frac{\partial V}{\partial \sigma} \Big|_{\omega} d\sigma$$

$$520 d\phi = \left. \frac{\partial \phi}{\partial \omega} \right|_{\sigma} d\omega + \left. \frac{\partial \phi}{\partial \sigma} \right|_{\omega} d\sigma. \quad (14) \quad 218$$

219 The derivative of the phase velocity as a function of the  
 220 frequency comes from the definitions of phase and group  
 221 velocities; at constant surface density, we have from [11]:

$$520 \left. \frac{\partial V}{\partial \omega} \right|_{\sigma} = k^{-1} \left( 1 - \frac{V}{V_g} \right); \quad (15) \quad 222$$

$$520 \frac{dV_0}{d\omega} = k_0^{-1} \left( 1 - \frac{V_0}{V_{g0}} \right). \quad (16) \quad 223$$

224 The other partial differentials are obtained by differentia-  
 225 tion of Eq. (11):

$$520 \left. \frac{\partial \phi}{\partial \omega} \right|_{V, V_0} = -\tau; \quad (17) \quad 226$$

$$520 \left. \frac{\partial \phi}{\partial \omega} \right|_{\sigma} = -\tau - \omega \left. \frac{\tau}{\omega} \right|_{\sigma} \quad (18) \quad 227$$

$$520 \left. \frac{\partial \phi}{\partial \omega} \right|_{\sigma} = -\tau_g; \quad (19) \quad 228$$

$$520 \left. \frac{\partial \phi}{\partial V} \right|_{\omega, V_0} = \frac{\omega D}{V^2}; \quad (20) \quad 229$$

$$520 \left. \frac{\partial \phi}{\partial V_0} \right|_{\omega, V} = \frac{\omega(L-D)}{V_0^2}. \quad (21) \quad 230$$

231 The time of flight  $\tau_g$  introduced in Eq. (19) is calculated  
 232 as

$$520 \tau_g = \frac{D}{V_g} + \frac{L-D}{V_{g0}}. \quad (22) \quad 233$$

234 *3.3. Open loop configuration*

235 In the open loop configuration, the input transducer is ex-  
 236 cited at a given frequency while the phase difference between  
 237 output and input transducers is recorded. This configuration  
 238 with a constant frequency has  $d\omega = 0$  in Eq. (13); related

239 phase variations caused by surface density variations are ob-  
 240 tained by

$$241 \frac{d\phi}{d\sigma} = \left. \frac{\partial\phi}{\partial V} \right|_{\omega, V_0} \left. \frac{\partial V}{\partial\sigma} \right|_{\omega} \quad (23)$$

$$242 \frac{d\phi}{d\sigma} = \left. \frac{\partial\phi}{\partial V} \right|_{\omega, V_0} VS_V. \quad (24)$$

243 In the absence of interferences, phase variations obtained  
 244 experimentally are directly linked to velocity changes by the  
 245 product  $kD$  involving the geometry of the sensor as seen by  
 246 replacing Eq. (20) in Eq. (24):

$$247 \frac{d\phi}{d\sigma} = kDS_V. \quad (25)$$

248 In other words:  $S_\phi = S_V$  when there are no interferences.  
 249 In a first approximation  $k$  is assumed equal to  $k_T$ , an as-  
 250 sumption valid as long as the phase shift is evaluated close  
 251 to the synchronous frequency and for waveguides with low  
 252 dispersion. The wavelength is only known when the sensing  
 253 part extends over the transducers ( $D = L$ ). In that case, the  
 254 transfer function of the IDTs is modified accordingly to the  
 255 velocity changes. In practice, the value of the sensitivity is  
 256 slightly underestimated to its exact value since  $k \leq k_T$ , the  
 257 error being less than 5%.

258 In the case where interferences occur, the partial differen-  
 259 tial of  $\phi$  with respect to the velocity is obtained by differen-  
 260 tiation of Eq. (4):

$$261 \left. \frac{\partial\phi}{\partial V} \right|_{\omega, V_0} = \left( \frac{1 + \alpha \cos(\omega\tau)}{1 + 2\alpha \cos(\omega\tau) + \alpha^2} \right) \frac{\omega D}{V^2}, \quad (26)$$

262 and the phase sensitivity is obtained by combining the latter  
 263 equation with Eq. (24):

$$264 S_\phi = \left( \frac{1 + \alpha \cos(\omega\tau)}{1 + 2\alpha \cos(\omega\tau) + \alpha^2} \right) S_V. \quad (27)$$

265 The influence of electromagnetic interferences on the  
 266 phase sensitivity is simulated in Fig. 6 versus the relative  
 267 frequency for different values of  $\alpha$ . The phase sensitivity is  
 268 always different compared to the velocity sensitivity. For the  
 269 threshold value  $\alpha = 1$ , the phase sensitivity present a sin-  
 270 gularity and is undefined; for higher values of  $\alpha$ , the phase  
 271 sensitivity is always underestimated to the velocity sensitiv-  
 272 ity.

273 The interference peaks permit a direct evaluation of  $\alpha$  be-  
 274 cause at these points  $\cos(\omega\tau) = -1$  and Eq. (27) becomes  
 275 linear with  $\alpha$ :

$$276 \alpha = 1 - \frac{V^2}{\omega D} \left. \frac{\partial\phi}{\partial V} \right|_{\omega, V_0} \quad (28)$$

$$277 \alpha = 1 - \frac{S_V}{S_\phi}. \quad (29)$$

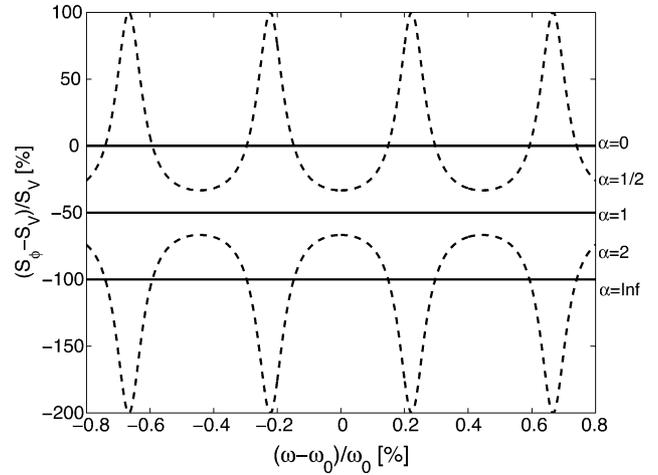


Fig. 6. Phase sensitivity at constant frequency as a function of the relative frequency for different values of simulated interferences obtained by Eq. (27).

### 3.4. Closed loop configuration

278 In the closed loop configuration, the frequency is recorded  
 279 while a feedback loop keeps the phase difference between  
 280 output and input transducers constant. The configuration at  
 281 constant phase has  $d\phi = 0$ , the variation of the frequency as  
 282 a function of the mass change is given by introducing this  
 283 condition in Eq. (14):  
 284

$$285 \frac{d\omega}{d\sigma} = \left( \left. \frac{\partial\phi}{\partial\sigma} \right|_{\omega} \right) \left( \left. \frac{\partial\phi}{\partial\omega} \right|_{\sigma} \right)^{-1}. \quad (30)$$

286 The upper term is replaced by Eq. (24). The phase slope  
 287 as a function of the frequency at constant mass is obtained  
 288 by differentiation of Eq. (4):

$$289 \left. \frac{\partial\phi}{\partial\omega} \right|_{\sigma} = - \left( \frac{1 + \alpha \cos(\omega\tau)}{1 + 2\alpha \cos(\omega\tau) + \alpha^2} \right) \tau_g. \quad (31)$$

290 We can establish a finalized equation taking into account  
 291 the electromagnetic interferences by combining Eqs. (24),  
 292 (26) and (31) in Eq. (30):

$$293 S_\omega = \frac{DS_V}{V\tau_g}. \quad (32)$$

294 At the opposite of the open loop configuration, the fre-  
 295 quency sensitivity is not influenced by the interferences.  
 296 However, as indicated by Eq. (32), the frequency sensi-  
 297 tivity is strongly dependent of the structure of the sen-  
 298 sor and the dispersion characteristics of the delay line.  
 299 As result, the link between the frequency sensitivity and  
 300 the velocity sensitivity is difficult to exploit although it  
 301 can be noticed that  $S_\omega \leq S_V$  since  $V_g \leq V$  for Love mode

## 4. Experimental results

For the practical consideration of the described and modeled behavior, we investigated a Love mode sensor. It was fabricated and tested under liquid condition in the open loop configuration to evaluate the influence of the electromagnetic interferences. In a first part, the sensor fabrication and instrumentation is described, followed in a second part by the application of the model to these results to demonstrate the influence of the interferences on the sensitivity of the sensor.

### 4.1. Sensor fabrication and instrumentation

The Love mode was obtained by conversion of a surface skimming bulk wave (SSBW) launched in the direction perpendicular to the crystalline  $X$  axis of a  $500\ \mu\text{m}$  thick ST-cut ( $42.5^\circ$  Y-cut) quartz substrate. The conversion was achieved by a  $1.2\ \mu\text{m}$  thick overlayer of silicon dioxide deposited on the top side of the substrate by plasma enhanced chemical vapor deposition (Plasmalab 100 from Oxford Plasma Technology, England). Via were etched in the silicon dioxide layer using a standard  $\text{SF}_6/\text{O}_2$  plasma etch recipe. This process stopped automatically on the aluminum contact pads of the transducers.

The transducers consist of split fingers electrodes etched in  $200\ \text{nm}$  thick sputtered aluminum. The fingers are  $5\ \mu\text{m}$  wide and equally spaced by  $5\ \mu\text{m}$ . This defines a periodicity  $\lambda_T$  of  $40\ \mu\text{m}$ . The acoustic aperture defined by the overlap of the fingers is equal to  $80\lambda_T$  ( $=3.2\ \text{mm}$ ), the total length of each IDT is  $100\lambda_T$  ( $=4\ \text{mm}$ ) and the distance center to center of the IDTs is  $225\lambda_T$  ( $L = 9\ \text{mm}$ ,  $D = 5\ \text{mm}$ ).

The sensing area was defined by covering the space left between the edges of the IDTs by successive evaporation and lift-off of  $10\ \text{nm}$  of titanium and  $50\ \text{nm}$  of gold in a first experiment, and  $200\ \text{nm}$  of gold in a second experiment. The fingers were protected against liquid by patterning photosensitive epoxy SU-8 2075 (Microchem Corp., MA) defining  $120\ \mu\text{m}$  thick and  $80\ \mu\text{m}$  wide walls around the IDTs. Quartz glasses of  $5\ \text{mm} \times 5\ \text{mm}$  were glued on top of the walls to finalize the protection of the IDTs [14].

The device was mounted and wire-bonded to an epoxy printed circuit board and its transfer function was recorded on a HP4396A Network Analyzer. This setup corresponds to the open loop configuration. Epoxy around the device covered and protected it and defined a leak-free liquid cell. The sensing area was immersed in a solution of  $\text{KI}/\text{I}_2$  (4 and 1 g, respectively, in 160 ml of water) that etched the gold away of the surface [15]. The transfer function of the device was recorded every 4 s (limited by the GPIB transfer speed) during the etching of the gold with a resolution of 801 points over a span of 2 MHz centered around 123.5 MHz. The initial transfer function of the device is presented in Fig. 7 with and without gold. The transfer function during etching of the  $200\ \text{nm}$  is shown at two moments (44 and 356 s after etching start) in Fig. 8. The total time for this etching was approximately 620 s.

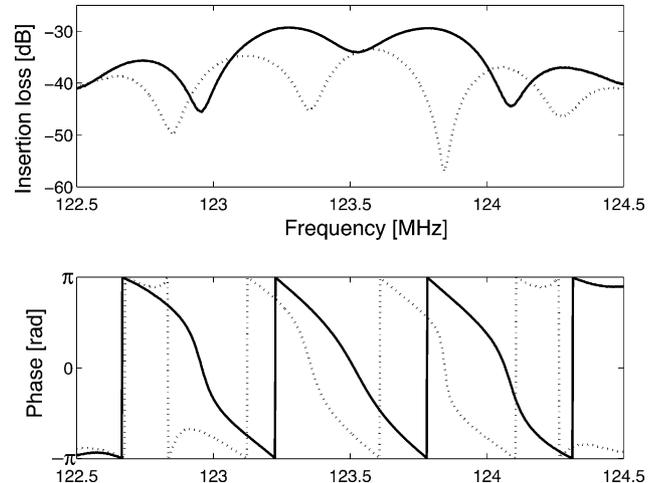


Fig. 7. Initial aspect of the experimentally recorded transfer function of the Love mode sensor with (dashed line) and without (solid line) an overlayer of  $200\ \text{nm}$  of gold. This device presents an initial phase  $\phi_0 = \pi$ , leading to a vertical offset by  $\pi$  compared to the simulated phase curve represented in Fig. 3.

### 4.2. Correlation of the results with the model

The correlation of the experimental results with the model is presented in two steps. In the first step, we show the calculation of the phase velocity from the interference peaks; and in the second step, we evaluate the mass sensitivity in the open loop configuration by the delay phase angle and the phase velocity variations recorded during the gold etching.

The record of the interference peaks frequency  $f_n$  during a sensing event permits to follow the evolution of the phase velocity in the sensing area either for constant and integer values of the interference mode numbers  $n$  as given by Eq. (6),

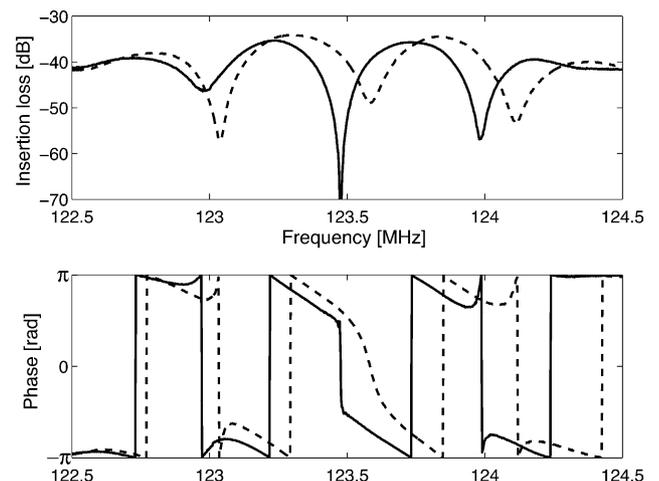


Fig. 8. Aspect of the experimentally recorded transfer function at two different moments of the etching of  $200\ \text{nm}$  of gold (solid line after 44 s and dashed line after 356 s). The solid line shows a value of  $\alpha$  close to 1 around  $123.5\ \text{MHz}$ .

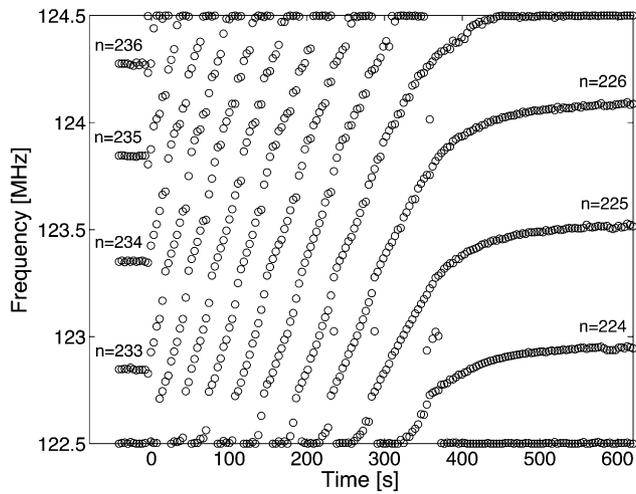


Fig. 9. Interferences mode in the amplitude of the transfer function as a function of time and frequency.

either by sampling the mode numbers at a constant frequency. Fig. 9 plots the interference peaks versus time and frequency for the etching of the 200 nm thick gold layer; the interference mode numbers  $n$  were attributed according to Eqs. (6) and (7) with  $V_0 = 4940$  m/s (given by the synchronous frequency of  $f_T = 123.5$  MHz times the transducers periodicity  $\lambda_T$ ).

The evolution of the velocity in the sensing area with time is representative of the etching rate of the gold layer and is plotted for three different frequencies (123.5, 123.75 and 124 MHz) in Fig. 10. At three different frequencies, the values of velocity should differ as a function of the group velocity. This effect is seen better when the probing frequencies are taken far away from each others and for a strongly dispersive delay line, which is not the case for the experimental device presently used.

At constant frequency, the peaks are spaced by an unit variation of  $n$ , therefore the velocity difference measured be-

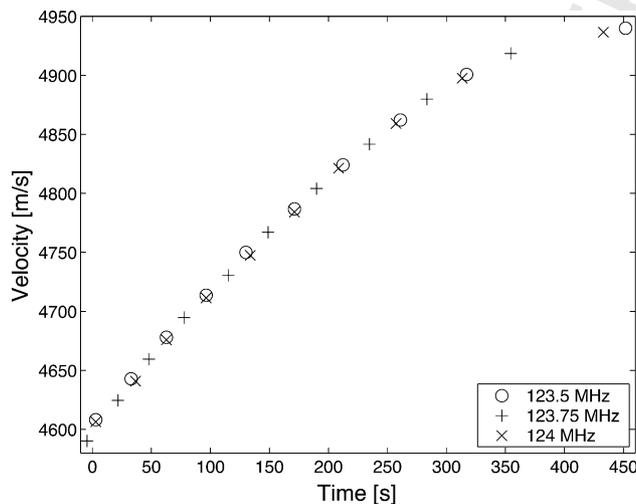


Fig. 10. Evaluation of the acoustic velocity on the sensing area during the etching of the gold as a function of time for different values of frequency.

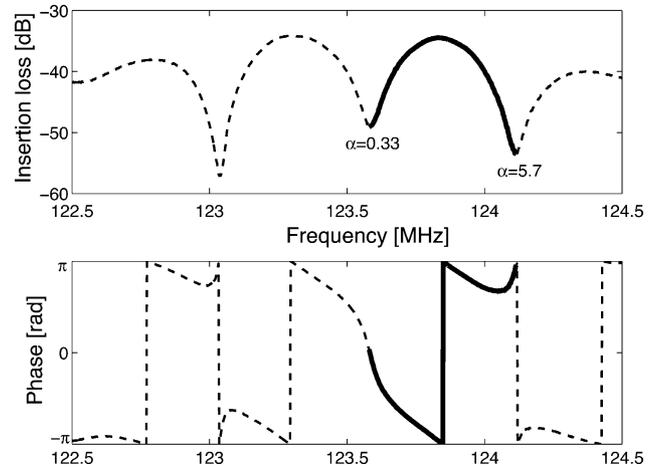


Fig. 11. Evaluation of  $\alpha$  at the position of the interference peak.

tween two peaks is obtained by differentiation of Eq. (6) with respect to  $n$ :

$$\frac{\partial V}{\partial n} \Big|_{f_n, V_0} = - \frac{4DV_0^2 f_n}{[(2n + 1)V_0 + 2(D - L)f_n]^2}, \quad (33)$$

which gives a variation roughly equals to  $-40$  m/s between two peaks at the three sampling frequencies. From the acoustic velocity variation (4610 m/s for 200 nm gold to 4940 m/s when all the gold is etched) and by assuming that gold has a density of  $\rho = 19.3$  g/cm<sup>3</sup>, we have an evaluation of  $S_V$  equals to  $-173$  cm<sup>2</sup>/g. Because the phase loses its periodicity for the thick gold layer, we were not able to determine a value for the phase variation and consequently we have no value for  $S_\phi$ . The Eq. (28) was employed to estimate the value of  $\alpha$  at the interference peak; the result is displayed in Fig. 11 that demonstrates a variation of  $\alpha$  with the frequency. Around the synchronous frequency,  $\alpha$  equals 0.33 and the phase has a periodicity of  $2\pi$ ; but as the frequency is far from the synchronous frequency,  $\alpha$  clearly change above the critical value of 1 (in the present case,  $\alpha = 5.7$ ). The consequence is seen in the phase that presents at this point of calculation a positive slope and a periodicity below  $\pi$ .

We applied the same procedure to the thinner gold layer of 50 nm. Fig. 12 shows the transfer function recorded before and after the gold etching; the interference mode number 225 has been followed and give a velocity varying from 4876.5 to 4940 m/s. The resulting velocity sensitivity is  $S_V = -96$  cm<sup>2</sup>/g. This value is lower than the one obtained by etching of the thick gold layer since a thicker layer enhances the sensitivity due to a better entrapment of the acoustic energy in the top guiding layer.

The phase sensitivity  $S_\phi$  could be calculated for frequencies where  $\alpha$  remained inferior to the critical value of 1, that is close to the synchronous frequency. The result is plotted versus the frequency in Fig. 13 and compared with the estimated value of  $S_V$  while the values of  $\alpha$  indicated on the

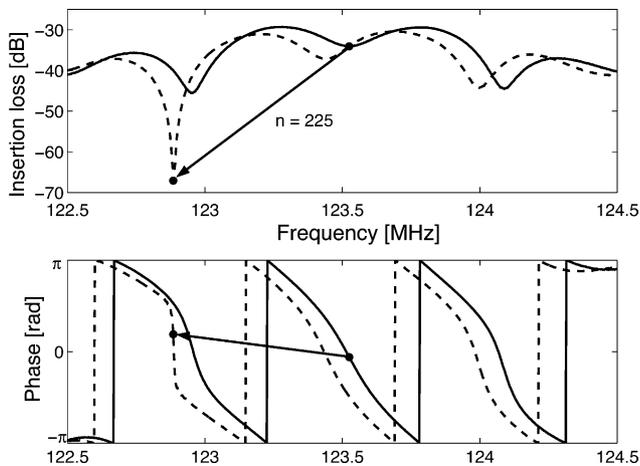


Fig. 12. Transfer function before and after the etching of 50 nm of gold. The arrows indicate the interference mode 225 followed to estimate the velocity sensitivity.

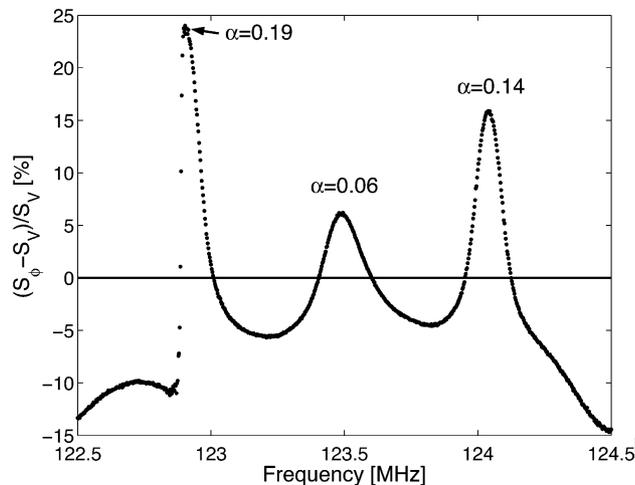


Fig. 13. Phase sensitivity relative to the velocity sensitivity as a function of the frequency and computed from the experimental data obtained by etching 50 nm of gold. Oscillations are attributed to the electromagnetic interferences.

graph have been estimated at the interference peaks thanks to Eq. (29). The graphs shows that the interferences modify the value of the sensitivity as given by Eq. (27). A comparison of the Figs. 6 and 13 shows the correlation between the theoretical modeling of the effects of electromagnetic interferences on the sensitivity of the surface acoustic waveguide sensor and the experimental results.

5. Discussion

Electromagnetic interferences have a clear effect on the transfer function of the acoustic device because of the ripples they cause. The interaction modeled as a constant factor  $\alpha$  is specific to each device and must be identified by a care-

ful inspection of the transfer function. The amplitude of the transfer function peak to peak is supposed to be the product between the transfer function of the transducers and the interference, and therefore an evaluation of  $\alpha$  is possible if the transfer function of the transducers only is known. However, the experiment shows that  $\alpha$  is a function of the frequency and the surface density, indicating that finding its exact value is not straightforward. Only the phase indicates whether  $\alpha$  is higher or lower than one.

In term of sensitivity, when  $\alpha \geq 1$  the phase has a periodicity  $P$  in the range  $0-\pi$ . We suggest the following correction to the experimental phase sensitivity:

$$S_\phi = \frac{2\pi}{P} \frac{1}{kD} \frac{d\phi}{d\sigma} \tag{34}$$

This modification gives a better evaluation of the velocity sensitivity by stretching the phase of the transfer function to  $2\pi$ . Only the extraction of  $P$  is not immediate since it depends upon  $\alpha$ . From a physical point of view,  $\alpha$  indicates the strength of the electromagnetic wave in comparison with the acoustic wave. For a constant amplitude of the EM wave, a higher  $\alpha$  stands for a larger attenuation of the acoustic wave; its precise value is an indication of the actual attenuation of the acoustic wave along the delay line.

The observation of the interference peaks in the experimental part was facilitated by the large velocity change induced by the gold coating. Indeed, 50 nm of gold corresponds to a surface density of  $96.5 \mu\text{g}/\text{cm}^2$ , a relatively large shift in comparison to the targeted (bio)chemical recognition application where molecules films surface density are in the order of hundreds of  $\text{ng}/\text{cm}^2$  and even lower. The calibration of the sensitivity is best recorded by adding or etching thin layers of materials and that under the operating conditions of the sensor, especially if liquids are involved [16]. In (bio)chemical measurements, the precision on the velocity measurement depends upon the assessments on the initial conditions (i.e.  $V_0$  and  $n$ ) but also on the induced variation of velocity, which is function of the velocity sensitivity of the waveguide. The evaluation of the mass sensitivity by the frequency variation of an interference peak is identical to a closed loop measurement locked on the interference peak instead on a constant value of the phase. For the detection of a minimum value of the surface density  $\Delta\sigma$ , the frequency shift of an interference peak must be measured with a precision estimated from Eq. (32):

$$\Delta f_n = \frac{DS_V f_n}{V\tau_g} \Delta\sigma, \tag{35}$$

that gives  $\Delta f_n/\Delta\sigma \simeq -6.5 \text{ cm}^2 \text{ Hz}/\text{ng}$  in the present case. The detection of a monolayer of proteins, about  $400 \text{ ng}/\text{cm}^2$ , requires to detect a frequency variation of 2.6 kHz, which is compatible with the instrumentation of surface acoustic waveguide sensors.

One benefit of our calculation method resides in the possibility to still measure the acoustic velocity in the sensing area even when the electromagnetic and the acoustic waves

are strongly interfering, in particular for  $\alpha$  greater than one. Strong interferences are unwanted in an experimental set-up because they prevent the correct electrical measurement of the sensor. It must be noticed that for these high values of interference, the electromagnetic wave amplifies the acoustic signal as seen in Fig. 5, which could be of interest for the operation of the device even in conditions where the acoustic signal is weak. Finally, the presented method operates directly on the raw signal of the acoustic device thus avoiding a lost or a modification of the physical information it carries.

## 6. Conclusion

We have proposed a model for surface acoustic waveguides used as sensors. The model shows the influence of electromagnetic interferences caused by interdigital transducers on the velocity sensitivity in open and closed loop configurations. In both cases, the dimensions of the delay line and the sensing part influence the experimental value of phase or frequency shifts.

The interference peaks in the transfer function offer an unique possibility to access the information about the acoustic phase velocity in the sensing area. The velocity sensitivity was calculated directly from these peaks.

In an open loop configuration and with interferences, the phase shift is disturbed and the sensitivity is over- or underestimated to the value of the velocity sensitivity. For strong interferences, the phase has a periodicity lower than  $2\pi$  that must be considered when normalizing the phase shift to obtain a correct figure of the sensitivity.

In a closed loop configuration and with interferences, the frequency shift is not disturbed. The frequency shift is proportional to the sensitivity by the ratio between the length of the sensing area and the distance separating the transducers. In addition, the frequency shift is influenced by the dispersive properties of the waveguide.

The influence of the electromagnetic interferences on the transfer function of a Love mode sensor operating in liquid conditions was presented for a comparison. From the experiment it appears that the interferences are function of both the frequency and the surface density.

For future investigations, an analytical expression of the electromagnetic-acoustic interaction and the parameters acting on it have to be identified in order to reduce the influence or, on the opposite, to enhance the velocity sensitivity of surface acoustic waveguides.

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